REQUEST FOR FUNDS INSTRUCTIONS

1. **Effective January 1, 2017, Requests for Funds will no longer be accepted via fax by OCR.**

2. Each Request for Funds Form must be submitted with a Disbursement Summary Form.

3. Requests for Funds and Disbursement Forms may be e-mailed to your OCR Community/Economic Developer or mail a hard copy of the request for funds to your assigned OCR Community/Economic Developer for processing.

4. Do not mail the original to OCR, this must be retained with the local project files.

5. Any incomplete section or missing information will result in delays of processing the request.

6. Forms that are recreated, modified or handwritten will be rejected.

7. All Housing Request for Funds must include Form 1-4B Housing Assistance Summary Form.

8. All Microenterprise Request for Funds must include Form 1-6B ME Business Project Summary Form.

**SECTION I - CDBG RECIPIENT INFORMATION**

**Drawdown Number**
All requests for funds must be numbered consecutively. The initial request for funds should begin with 1. For the final request, enter the appropriate consecutive number and the word “Final”.

**Project Number**
Enter the OCR assigned CDBG Project Number.

**Total Amount Requested**
This will be auto-populated and will reflect the amount entered in Section II.

**Recipient Name**
Enter the name of the Recipient; this must be the County, Town, City or Village that has been awarded the CDBG funds.

**Award Date**
Enter the effective date of the NYS CDBG Grant Agreement (GA). This can be found in the first paragraph of the GA.

**Contract End Date**
Enter the effective contract end date of the NYS CDBG Grant Agreement (GA). This can be found in Paragraph 2 of the GA.

**SECTION II - FINANCIAL INFORMATION**

1. **Program Activity**
Enter the amount budgeted for the Program Activity in Column A, this must be consistent with the OCR approved budget Schedule B of the Grant Agreement.

   For each activity that funds have been previously requested, enter the amount in Column B.

   For each activity that funds are currently being requested, enter the amount in Column C.

   The balance remaining after this draw will auto populate. For each line item, enter the percentage of the total grant for Column A & B.
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2. **Program Delivery**
   Select the appropriate Program Delivery, and follow guidance above under **Program Activity**.

3. **Administration**
   If Administration is included on Schedule of the OCR approved budget, complete this section following guidance above under **Program Activity**.

4. **Totals**
   These amounts will auto populate.

5. **Balance of CDBG Funds on Hand**
   Enter the amount of cash on hand from previous CDBG drawdowns. These funds represent the total amount of CDBG funds that have been electronically transferred to the Recipient, but have not been disbursed (withdrawn) from the account.

   **PLEASE NOTE**, if the Recipient has a **Balance of CDBG Funds on Hand**, contact the assigned CD/ED before submitting the Request for Funds to OCR. These funds must be used prior to requesting additional funds from OCR or subtracted from the amount of funds requested in this request for funds. The amount should be reflected as a negative amount on the Disbursement Summary Page and should be deducted from the total amount being requested.

   **Amount Requested and Not Received**
   Enter the amount of CDBG funds requested from the OCR and not yet received.

6. **Amount Requested and Received**
   This amount will auto populate.

**SECTION III - LOCAL APPROVAL**

Complete as directed. Signatures must match exactly as shown on the Authorized Signature Form 1-1.

**SECTION IV - OFFICE OF COMMUNITY RENEWAL APPROVAL**

This section is for OCR use only.

**DISBURSEMENT SUMMARY**

The **Recipient, CDBG Project # and Drawdown Number** will auto populate based on information entered on the **Request for Funds** page.

**Activity Number**
Enter the activity number as identified on Schedule B of the OCR Grant Agreement.

**Expenditure Description**
Enter a brief description of the expenditure, for example, Housing Rehabilitation (SU), or Water Line Construction, or Machinery & Equipment.

**Vendor Name**
Enter the name of the vendor that is requesting payment, for example ABC Construction Services.

- If the Recipient is working with a Subrecipient, the Subrecipient must be identified as the Vendor.
- If the Recipient has prepaid eligible CDBG expenses and costs and is requesting reimbursement, the Recipient must be identified as the Vendor.
- Payments to all vendors with CDBG funds identified on the Disbursement Summary must match exactly to actual disbursements out of the CDBG account.
FORM 1-4, 1-5, 1-6
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Date Paid or Payable
Enter the payment date or the date payable for the expenditure.

Check/Invoice/Purchase Order
Enter the check number, invoice number or purchase order number or a reference number that can identify and directly link the expenditure to an invoice or bill.

CDBG Funds Expended
Enter the amount of CDBG funds requested for the expenditure.

Disbursement Summary
➢ Enter the activity number as identified above.
➢ Enter the total amount of CDBG funds requested by Activity.
➢ For Program Delivery expenditures, enter the amount on Total Requested and Program Delivery Disbursement Total
These amounts will auto populate.

OCR Use Only/IDIS Activity
Leave Blank

Prepared By
Enter the name, phone number, e-mail address and date of the person completing this form.