

Substantial Amendment

On March 27, 2020 Congress passed and the President signed H.R. 749, the Coronavirus Aid, Relief, and Economic Security Act, known as the CARES Act. The CARES Act makes available \$5 billion in CDBG coronavirus response (CDBG-CV) funds to prevent, prepare for, and respond to coronavirus.

The funding allocations for the first tranche of the CDBG funding, the portion that has been allocated according to the existing CBDG formula, were announced on April 2, 2020. In total, communities in New York State will receive \$192,774,513 through the Part One CDBG allocation, with New York City receiving the bulk of the funding, \$102,084,020. Other localities throughout the state will receive a total of \$61,508,683.00 in CDBG funds directly from HUD. New York State Homes & Community Renewal (HCR) and Housing Trust Fund Corporation (HTFC) has been allocated \$29,181,810 in CDBG funds to serve “non-entitlement” communities in the rest of the state that are do not receive their own for funding directly from HUD.

HUD made the second round of CDBG-CV allocations on May 11, 2020, in the amount of \$1 billion. HUD made this allocation directly to states and insular areas to prevent, prepare for, and respond to coronavirus within the state or insular area. HTFC will receive \$70,693,638 to support activities that prevent, prepare for and respond to throughout New York State.

Based on published guidance for expenditure of the CDBG-CV funding, HTFC seeks to release funds to communities throughout NYS quickly so they can respond to, prepare for and prevent the spread of COVID-19. To this end, HCR is amending its HUD approved 2019 Action Plan to indicate the overall funding amount and that the funds will be used for coronavirus response.

HCR and HTFC will make funds available through competitive and open round funding to support activities that prevent, prepare for and respond to coronavirus in communities throughout New York State.

Applicants and contracting entities will be required to describe the project or activity in need of support to address COVID 19-related issues in their communities. The contracting entity will identify the need in the community based on the effects of COVID 19 and how the CDBG funding for the project will prevent, prepare for and respond to COVID 19.

The following tables have been amended in the 2019 Action Plan.

AP-15 Expected Resources – 91.320(c)(1,2)

Other CDBG- CV	public - federal	Other	\$99,875,448	\$127,024,559	CDBG-CV funding to prevent, prepare for, and respond to COVID-19
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AP-30 Methods of Distribution – 91.320(d)&(k)

6	State Program Name:	NYS Community Development Block Grant (CDBG) CV
	Funding Sources:	

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The CDBG-CV Program will use \$99,875,448 (less administrative expenses) of CDBG-CV funds to prevent, prepare for and respond to COVID-19.</p> <p>CDBG-CV funds will be allocated in response to local needs that are identified in Requests for Applications (RFAs), which will be made available throughout the year by annual competition or open round review of infrastructure, facility, housing, public services, and economic development projects that prevent, prepare for and respond to COVID. NYS CDBG-CV funds may be allocated outside of normal funding rounds in response to demonstrated needs.</p> <p>HTFC reserves the option to directly administer CDBG -CV funding if direct administration will improve timeliness and consistency in the release of the funding to communities in NYS.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The NYS CDBG-CV Program will issue awards based on threshold criteria including demonstrated need, ability to prevent, prepare and respond to COVID-19, national objective, capacity to manage the project or program and considerations for geographic distribution.</p> <p>In addition to reviewing an applicant's compliance with the established threshold criteria, a review will be undertaken to determine project feasibility and timeliness as well as with all federal, State, and programmatic rules and regulations.</p> <p>Review of the applications may include, but is not limited to, the following:</p> <ul style="list-style-type: none"> • Ability of the proposed project to prevent, prepare and respond to COVID-19; • Project/Program Need; • Project Feasibility; • Timeliness/ability to complete project quickly; • Impact to the residents, specifically low- and moderate-income persons or households; • Extent to which the activity addresses the identified need; • Degree to which the project supports program and State initiatives; • Reasonableness of project costs; • Administrative capacity; • Extent to which the project/activity supports regional plans and strategies.

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>An application kit will be made available that provides specific guidance for the submittal of applications, including detailed descriptions of the assessment criteria that must be addressed for each of the funding categories. Detailed information on the calculation of points will be outlined in the applicable application kits for CDBG-CV projects.</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>New York State may provide opportunities for the primary categories of funding:</p> <ul style="list-style-type: none"> • Economic Development, Small Business Assistance & Microenterprise; • Public Infrastructure/Facilities; • Housing; • Public Service; and • Comprehensive awards that include multiple activities identified above. <p>Applications will be reviewed against established threshold eligibility and review criteria and final funding decisions approved by the Housing Trust Fund Corporation Board.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>Anticipated Funding Limits:</p> <p><u>Minimum Request:</u> \$100,000</p> <p><u>Maximum Request:</u> \$500,000 (City, Town, Village) \$1,000,000 (County) \$2,000,000 (Multiple-activity awards)</p> <p>Award size will vary depending on local need and impact of the proposed project. Higher limits may be established for projects that present significant need or where it is found that a project may have a significant impact or that may realize a potential for regional or statewide impact.</p> <p>Applications must present a feasible budget for a project that can be completed within a short amount of time to prepare, prevent or respond to COVID 19.</p>

<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The NYS CDBG Program will track program performance using the following outcome measures:</p> <ul style="list-style-type: none"> • Number of people assisted • Number of jobs created/retained • Number of housing units assisted • Number of homebuyers receiving direct financial assistance
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AP 38: Projects

<p>Project Name:</p>	<p>CBGB-CV</p>
<p>Goals Supported:</p>	<ul style="list-style-type: none"> - PF/PI OT Housing - PF/PI For Housing - Rental Unit Rehab - Homeowner Rehab - Homebuyers - Jobs - Businesses Assisted - Imminent Threat
<p>Needs Addressed:</p>	<ul style="list-style-type: none"> - Rehabilitation of Existing Housing - Acquisition of Existing Units Homebuyer Assistance - Non-Housing Community Development Needs
<p>Funding:</p>	<p>\$127,024,559</p>
<p>Description:</p>	<p>CDBG-CV funds will be allocated in response to local needs that are identified in Requests for Applications (RFAs), which will be made available throughout the year by annual competition or open round review of infrastructure, facility, housing, public services, and economic development projects that prevent, prepare for and respond to COVID. NYS CDBG-CV funds may be allocated outside of normal funding rounds in response to demonstrated needs.</p> <p>New York State may provide opportunities for the primary categories of funding:</p> <ul style="list-style-type: none"> • Economic Development, Small Business Assistance & Microenterprise; • Public Infrastructure/Facilities; • Housing; • Public Service; and • Comprehensive awards that include multiple activities identified above.