

NYS FFATA Reporting Form

Project Number/SHARS ID	
Recipient Name	
Unique Entity ID (UEI)	

1. Federal Financial Reporting Requirements

a. In the prior completed fiscal year, did your organization (the legal entity represented by the UEI number) receive:

- 80% or more annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; AND
- \$30,000,000.00 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

Yes No (Select One)

b. If YES to "1a", does the public have access to information about the compensation of the executives in your organization (the legal entity represented by a UEI number) through reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

Yes No N/A (Select one; only select N/A if the answer to "1a" was "No")

c. If NO to "1b.", please provide the names and compensation of the top 5 officers/employees.

Name	
Compensation	
Name	
Compensation	
Name	
Compensation	
Name	
Compensation	
Name	
Compensation	

By signing below, I certify that the Recipient has acknowledged and accepted the above NYS award, the responses are truthful and factual and that I am authorized to sign this certification on behalf of _____.

Agreed to and accepted by:

Signature

Date

Print name

Title