

NEW YORK STATE HOUSING FINANCE AGENCY, STATE OF NEW YORK MORTGAGE AGENCY, STATE OF NEW YORK MUNICIPAL BOND BANK AGENCY, AND TOBACCO SETTLEMENT FINANCING CORPORATION

Request for Proposals (RFP) for Financial & SWAP Advisory Services

Questions and Answers - Updated August 19, 2024

Number	Topic	Questions	Answers	Posted Round
1	Scope of Services	We would like to clarify if this RFP is open to underwriters or if it is specifically intended for advisory services only.	This RFP is not open to underwriters. There is a separate Underwriter RFP that would cover this group.	1
2	MWBE & SDVOB Requirements	Related to Section 4.1 and 4.2 of the RFP on PDF pages 6-7: Would the Agencies please confirm that sections 4.1 and 4.2 do not require non-MWBE/SDVOB respondents to submit proposals with an Mbe, WBE, and/or a SDVOB firm?	Since the purpose of the RFP is to establish a prequalified panel, is it not required that proposals be submitted in partnership with an M/WBE or SDVOB in order to be considered. However, it is recommended that respondents identify and develop relationships with potential M/WBE or SDVOB subcontracting partners in advance.	2
3	RFP Requirements	Question 16 on page 16 of the RFP is asking for "a list of interest rate swap and other structured financial product transactions completed over the past two calendar years in which key personnel identified in subsection 2 above have participated...". Can this list be attached as an appendix and not counted as part of the 8-page limit for the content of Tab 2 (referenced on page 13 of the RFP) or should this list be included and counted as part of the 8-page limit?	Responders can attach a list of their transactions as an appendix.	2