



**Homes and
Community Renewal**

New York State Homes and Community
Renewal

Housing Trust Fund Corporation
(HTFC)

Notice of Funding Availability
(NOFA)

Green Affordable Pre-Electrification Pilot Program (GAP)

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Introduction

New York State Homes and Community Renewal (“HCR”) consists of the major housing and community renewal agencies of the State of New York (“State”), including the Housing Trust Fund Corporation (“HTFC” or “Agency”) and the New York State Division of Housing and Community Renewal (“DHCR”). HCR includes other agencies (“Affiliates”) not involved in this Notice of Funding Availability (“NOFA”) process.

HTFC’s mission is to further community development through the construction, development, revitalization and preservation of housing for low-income residents, the development and preservation of businesses, the creation of job opportunities, and the development of public infrastructures and facilities.

Purpose

HTFC invites eligible applicants with experience in identifying eligible projects, coordinating with building owners, and preparing and completing work scopes that prepare homes/units for future weatherization or electrification projects in affordable housing properties to apply to administer the Green Affordable Pre-electrification Pilot Program (“GAP” or “Program”) as a Subrecipient. This NOFA describes the purpose for which the available funds are to be used and the methodology for disbursing those funds.

The GAP has been authorized in the state budget in the amount of two million dollars (\$2,000,000) which consists of grant allocations to Subrecipients to perform upgrades to existing homes to prepare them for future electrification of heating systems. Through this NOFA, HTFC plans to award funds to qualified applicants that can demonstrate projects in their existing or future pipeline that qualify for the program through a rolling application, until all funds are awarded. At HTFCs sole discretion, and with Subrecipient’s consent, each award may be subsequently amended to add funds if additional properties are presented to the Agency for review, and if additional funds exist. Eligible applicants are invited to submit applications for funding for the Program. Applications must be submitted via email to hcr.sustainability@hcr.ny.gov with all application material completed to be reviewed, incomplete applications will be rejected and returned to the applicant and will not reserve funding. Awarded contracts with qualified projects will enter into an initial contract that shall be eighteen months (18 months), with the potential for an extension depending on the availability of funds and at the discretion of HTFC staff and board approval.

Applicant Eligibility: Minimum Requirements

Eligible applicants, known as GAP Subrecipients (“Subrecipients”), must be able to identify multifamily or single-family properties that do not exceed \$200,000 in scope to remediate existing health and safety conditions that would otherwise prevent them from participating in existing State or Federally funded weatherization or electrification programs¹. Proposed buildings/homes must receive written Agency approval prior to determination of eligibility into the Program. GAP Subrecipients will award funds to eligible projects; work closely with HCR/ HTFC staff; supervise contractors; comply with the GAP term sheet/program requirements, and satisfy at least one of the two criteria below:

1. Existing Weatherization Assistance Program (WAP) subgrantee in contract with DHCR or HTFC who are:
 - a. In good standing. This is defined as no open or in-progress corrective action plan/s or Special Conditions letters without correction approved by the NYS Weatherization Assistance Program (“WAP”);
 - b. Successful on prior contracts. This is defined as meeting or exceeding production goals within current contracts and contracts at least one-year prior and successfully completing reporting requirements as defined by the HCR WAP program; and
 - c. Able to implement the award. This is defined as demonstrating staffing and pipeline within the applicant’s response.
2. A Domestic not-for-profit organization in good standing and with a presence in the State of New York or Community Action Agency (as defined by the 1964 Economic Opportunity Act) which:
 - a. Demonstrates ability to work with existing WAP subgrantees and/or NYSERDA’s EmPower Program contractors to directly secure funding for the GAP properties to receive weatherization services and/or electrification funding following the completion of the eligible GAP scope of work.
 - i. Such shall be evidenced by providing a letter of support demonstrating the above from an existing WAP Subgrantee in NYS or NYSERDA EmPower contractor;
 - b. Has at least five (5) years of previous experience deploying State or Federal funding to improve, modify, or perform construction services in affordable housing properties; and
 - c. Demonstrates the ability to implement the Award and capacity to execute the Program requirements, before December 31, 2027.

¹ Eligible properties are defined in Appendix A in the attached term sheet

Eligible Activities for the Scope of Work

The Subrecipients primary role shall be to: define the eligible scope of work for eligible projects, oversee or directly install the health and safety enabling scope of work based on the terms of the Program, and perform the upgrades through either direct installation or subcontracting while complying with the terms of the Program. Responsibilities will also include:

- Task 1: Identify pipeline and enroll eligible properties:
 - Review properties that are currently on deferral lists within the WAP Subgrantee list, whereas the property is income eligible, but not eligible to proceed with WAP installation due to health and safety needs.
 - Submit environmental screening in compliance with the GAP program requirements
 - Conduct necessary site screening to determine eligible projects, including but not limited to estimating the cost of the GAP scope of work;
 - Connect with project owners to confirm interest in Program services, explaining Program rules and requirements;
 - Provide scope of work documents to HTFC for review and approval to prior to entering into contract with project owner; and
 - Provide and issue a GAP owner's agreement to eligible project owners to enter into contract with the Subrecipient to perform the Program's eligible work scopes.

- Task 2: Manage the onsite construction of GAP Scopes of Work:
 - Subcontract, including necessary procurement in compliance with NYS rules, with eligible vendors, as required, and/or perform direct installation with Subrecipient staff, to perform GAP Scopes of Work on behalf of the project owners;
 - Create/manage an agreed upon construction schedule with the contracted project owners, including tenant access, common area access plans, and maintenance team coordination, as appropriate. before construction starts;
 - Manage permits, site access, and other building installation requirements depending on state and municipality requirements;
 - Supervise the installation of all GAP Scopes of Work in full compliance with NYS building code, local municipal building code and Program guidelines and manual, including supervision of any subcontractors on behalf of the project owner;
 - Report to HTFC monthly on production funds expended for eligible project costs – including anticipated or unsubmitted invoices;
 - Manage the awarded budget for eligible projects; contracted subcontractors; and
 - Manage and submit invoices monthly to HTFC, no later than the 10th of each month for prior work completed.

- Task 3: Program related reporting and close-out activities as defined by HTFC:
 - Require that the project owners confirm that all equipment installed through the Program is in good working order;
 - Coordinate and submit inspection completion reports to HTFC for final sign off, including contractor testing and performance verification where appropriate or applicable
 - Refer or coordinate the start of a weatherization or electrification scope of work, such as but not limited to Weatherization Assistance Program, EmPower, or Climate Friendly Homes Funds (including HCR’s All-electric Multifamily Program “AMP”).

Ineligible Activities

1. Funds cannot be used to cover the cost of grant application materials.
2. Funds cannot be used for program marketing.
3. Funds cannot be used for Subrecipient administrative costs not directly associated with completing eligible construction scopes of work.

Eligible Costs

Applicants are required to provide a program administration budget in their application estimating the portion of the requested/proposed budget to administer the Program, paid out to the subrecipient as a not to exceed developer fee as projects are awarded and then completed and as defined in the program requirements, between award date and December 31, 2027. Proposed budgets should include a narrative description of the amount not to exceed 10% of the total production funds requested, as defined as the Developer Fee in the Program Requirements in Appendix A:

- a. Program overhead including time and materials for program management and operations as a per property overhead expense; and
- b. Technical assistance, costs incurred by third-party vendors to perform engineering, construction management, or similar activities as required, as a per property overhead expense.

The Developer Fee may be paid as the Subrecipient achieves milestones in the Program administration aligned proportionally with production completion.

Proposal Costs and Materials

HTFC will not be held liable for any cost incurred by the applicant for work performed in the preparation, production, or submission of a proposal in response to this NOFA. All proposal

materials and information submitted as part of the application shall become the property of HTFC. No materials, curricula, media or other content will be returned to the applicant.

Submission Requirements

Applications should include a PDF attachment that responds to the following prompts. This PDF should not exceed eight (8) pages in length. Staff resumes and budget workbook, if included, do not count towards this 8-page maximum submission.

- a. Narrative describing applicant's experience with management of funding programs involving energy efficiency and decarbonization retrofits, heat pump installation, affordable housing construction, and management of direct installation of services for affordable housing;
- b. Pipeline, including address, size, and presumed or possible scope of work, identifying eligible properties and the proposed plan to conducting outreach to those owners, proposed plan for working or partnering with Weatherization Subgrantees, and/or other weatherization services programs defined as eligible referral sources;
- c. Narrative describing applicant's approach to how this program can ensure the proper use of GAP funds. This may include experience with health and safety remediation, and/or improvements related to the scopes of work defined in the program requirements; Define the Region your organization is able to serve, IE county, or relative to an existing defined region within the WAP or EmPower programs
- d. Expected unit and property count served with the requested funding amount for the region requested to serve in this application;
- e. Staffing plan that describes plans to hire staff or allocate current staff time towards administering the Program. This should include description of roles and responsibilities of any confirmed or potential partner organizations or any contractors the applicant plans to engage to fulfill full scope of work;
- f. Organizational chart (if applicant plans to partner with other organizations, they should be included, with the lead applicant designated);
- g. Resumes of key staff;
- h. Proposed program budget to include:
 - a. Based on expected pipeline, proposed hard costs per property, demonstrating the average cost/unit does not exceed the Program Requirements
 - b. Proposed Developer Fee, demonstrating the total budget does not exceed \$250,000 inclusive of this allowable fee as defined in the Program requirements

Evaluation and Selection Criteria

Applicant proposals will be examined for completeness and eligibility. Incomplete proposals and those that do not meet eligibility requirements may be rejected as ineligible. Complete proposals and those that meet eligibility requirements will be reviewed and rated. The maximum rating is 100 points.

1. **Organizational Background and Experience (maximum 20 points):** Measures the overall functionality of the organization and its relevance to the work that would be performed under the Program, if awarded. Organizations will receive high scores which:
 - a. Have experience as a, or can procure/partner with, Weatherization Assistance Program Providers (Subgrantees), EmPower contractors, and can connect properties with providers of energy auditing , energy efficiency retrofits as well as the installation of electric heat pumps where cost feasible;
 - b. Have experience in managing the installation of and/or installing energy efficiency upgrades and Health and safety improvements, such as those eligible under the Weatherization Readiness Fund (“WRF”) within WAP, in both affordable housing properties and unsubsidized, “naturally occurring” affordable housing;
 - c. Experience coordinating with third party vendors, managing sub-contractors, and managing related construction trades. If partnering with other organizations, demonstrate that partnership has necessary experience and clear roles and responsibilities, and that applicant has capacity to manage a partnership;
 - d. Provide insight to how the applicant will work with HCR to solve for and deliver the Scope of Work defined herein.
2. **Ability to Staff and Administer the Program (maximum 20 points):** Measures the ability for the organization to dedicate staff and resources towards the administration of the Program funding, if awarded. Proposals that demonstrate an ability to hire staff or allocate current staff time towards administering the grant, if awarded, as well as demonstrating an ability to partner with sub-contracting with qualified engineering firms will receive high scores.
3. **Organizational Capacity & Readiness to Proceed (maximum 40 points):** Measures the extent to which the applicant has organized the proposal and assembled enough resources to complete the project and achieve the goals and objectives of the program in a manner that is timely, effective and on-budget. Thoroughly completed budget templates and proposals that document adequate organizational structures and procedures in grant delivery, with successfully managed contracts (Current grant contracts held with New York State Division of Housing and Community Renewal (DHCR), HTFC, or Housing Finance Agency (HFA), are in good standing), if applicable, will receive higher scores.
4. **Ability to Generate Demand (maximum 20 points):** Organizations who demonstrate an ability, or process to identify program participants at the time of application to this NOFA will receive high scores. Organizations who include pipeline generation strategies for

identifying buildings that will benefit most from this program, and corresponding outreach proposals in their application will receive high scores.

All completed applications will be reviewed and scored. HTFC reserves the right to:

1. Award all, a portion of, or none of the program funds based upon funding availability, competitiveness of applications received, feasibility of achieving project goals and objectives and completing proposed activities.
2. To change or disallow aspects of the applications and may make such changes conditions of commitment to provide funding.
3. To recommend funding in an amount less than requested.
4. To not issue an award or grant agreement to any applicant if it has been determined that the applicant is not in compliance with existing state contracts and has not taken satisfactory steps to remedy such noncompliance.
5. Waive any requirement contained in this NOFA.
6. Revise this NOFA from time to time.
7. Extend or revise any of the due dates presented in the Application and Award Timeline.
- 8.

Application and Award Timeline

HTFC reserves the right to modify this schedule, at its discretion. Notification of the changes in connection with this NOFA will be posted and made available to all parties via <https://hcr.ny.gov/funding-opportunities>

Item	Due Date
Issuance of NOFA	Thursday, January 29, 2026
Pre-Submission Conference	Tuesday, February 10, 2026
Deadline to Submit Questions	Friday, February 13, 2026
Deadline for Submission of Proposal	Thursday, February 27, 2026
Anticipated Preliminary Award Selection <i>(award/s will be contingent on HTFC Board approval which will occur after this date)</i>	Friday, March 13, 2026

Award Recommendations and HTFC Board Approval

Awards are recommended based on available funding, proposal quality and project feasibility as determined by the review and rating of an application. The evaluation and selection criteria are detailed in the NOFA. Recommendations are advanced to the HTFC Board for consideration and the Program award must be approved by the HTFC Board prior to the execution of a grant agreement.

Application Status and Notification

Applicants will receive one of the notifications below in response to their application:

1. Incomplete: Application presents potentially eligible project but provides insufficient information. Applicant will be provided with an opportunity to submit additional documentation.
2. Non-Award Notification: Application presenting an incomplete, non-competitive, not viable project will not receive an award under this NOFA.
3. Preliminary Award Notification: Application presents a complete, eligible, competitive and feasible project. The project has been recommended to and approved by HTFC Board of Directors for funding.

Program Grant Agreements

The Subrecipient(s) may be asked to revise parts of their proposal prior to entering into a Program grant agreement. After any required revisions are submitted and approved, a final program grant agreement will be executed. The program grant agreement will require that Subrecipients obligate all funds and meet all program goals by December 31, 2027, with the possibility for an extension, at the discretion of HTFC.

An applicant should not apply if the GAP project and scope of work will not begin within a reasonable time after receiving an executed grant agreement or will not be able to complete the project/s within the term, not including future Energy Efficiency and/or decarbonizations scopes of work outside of the GAP program. Funds remaining at the end of the term are subject to de-obligation and reallocation.

Equal Employment Opportunity/Minority and Women Owned Business and Affirmative Action

Under Article 15A of the New York State Executive Law, all award recipients and their contractors are required to comply with the equal employment opportunity provisions of Section 312 of that Article. Also, all contractors and subrecipients are required to make affirmative efforts to ensure that New York State Certified Minority and Women Owned Business Enterprises are afforded opportunities for meaningful participation in projects funded by HTFC pursuant to Section 313 of the Article.

All MWBE firms used to satisfy this requirement must be certified as such with the State's Empire State Development ("ESD"). All SDVOB firms used to satisfy this requirement must be certified as such with the State's Office of General Services. For purposes of this solicitation, HTFC hereby establishes a goal of 30% of the administrative contract expenditures for MWBE participation, 15% for minority-owned business enterprises ("MBEs") and 15% for women-owned business enterprises ("WBEs").

Affirmative Action Policy Statement: It is the policy of the HTFC to provide equal opportunity to all people without regard to race, color, sex, religion, age, national origin, disability, or sexual orientation.

Questions

Any questions regarding this NOFA or the application process should be directed to:
HCR.Sustainability@HCR.NY.gov

Appendix A

Green Affordable Pre-Electrification (GAP) Program Requirements

Program Goal	The GAP program fund, enacted in the 2025 NYS Budget will assist owners and residential rental buildings remediate health and safety defects that otherwise prevent the properties from receiving or participating in State or Federal funded weatherization and/or decarbonization programs.
Program Administration	The Program will be administered by eligible Subrecipients who respond to the State's Notice of Funding Award ("NOFA") and who otherwise already provide Weatherization, weatherization services, or deploy decarbonization State or Federal funds to the communities they serve.
Eligible Program Administration Applicants	<p>Eligible Applicants must satisfy at least one of the two criteria below, as defined in this NOFA:</p> <ol style="list-style-type: none"> 1. Existing Weatherization Assistance Program (WAP) subgrantee in contract with DHCR or HTFC, and in good-standing with active contracts; or 2. A Domestic not-for-profit organization in good standing and with a presence in the State of New York or Community Action Agency (as defined by the 1964 Economic Opportunity Act)
Payment Structure	<p>Subrecipients will be awarded access to funds based on projected pipelines submitted at the time of application and approved by the HTFC Program staff, and not to exceed more than \$250,000 per awardee. At HTFCs sole discretion, and with Subrecipient consent, each award may be subsequently amended to add funds, in excess of \$250,000 where need is demonstrated and in good standing, so long as additional funds are available.</p> <p>HTFC may make once monthly payments to Subrecipients based on the project completion, Developer Fee (defined in "Subrecipient Eligible Costs) milestones and submitted to HTFC on a once monthly basis to include all back-up material:</p> <ol style="list-style-type: none"> 1) Hard costs expenses submitted with invoice back up on approved and eligible projects; 2) Pipeline status indicating contracting status with owners; and 3) Signed owners agreements as appropriate; and 4) Confirmation of HTFC and owner sign off for completed projects <p>Eligible * Program Delivery Costs</p> <ol style="list-style-type: none"> 1. Delivery costs may include, but are not limited to, contractor fees, building permit filing fees, elevation certificates and other architecture and engineering services, home energy audits, loan closing fees, and reasonable legal fees. Eligibility to be determined by HTFC and submitted by Subrecipients in the budget template submission as part of the NOFA.
Program Grant Amounts	<p><u>Per Project Funding cost caps:</u></p> <ol style="list-style-type: none"> A. The average cost per unit per Subrecipient may not exceed \$25,000/unit, including eligible soft costs defined below for properties that are 8 units or less, B. and an average cost per unit per Subrecipient may not exceed \$15,000/unit, including eligible soft costs defined below for properties that are 9 units or more. <p><u>Eligible Hard cost measures:</u> where they are the cause of deferral for entry into a State or Federally funded energy efficiency or decarbonization funded program, such as</p>

	<p>The Weatherization Assistance Program, EmPower, Climate Friendly Homes Fund, or All-Electric Multifamily Program (all other programs require HCR’s prior approval before entering into an owner contract):</p> <ol style="list-style-type: none"> 1. Environmental remediation (IE, mold, asbestos, radon, etc.) 2. Roof repair/replacement 3. Interior repair related to water or fire damage 4. Structural improvements that do not exceed the cost cap available in this program pilot. 5. Electric system upgrades, panel or service as required to update to current code standards 6. Other health and exigent safety issues approved by HCR staff prior to work commencing where existing funding source do not exist to support their repair. <p><u>Eligible Soft Cost Program Delivery Expenses:</u> Delivery costs may include, but are not limited to, contractor fees, installation/labor expenses, building permit filing fees, elevation certificates and other architectural and engineering services, home/building energy audits, and legal fees associated with each project as required to complete the project. Eligibility to be determined by the Agency upon invoice review.</p>
<p>Subrecipients Eligible Fees</p>	<p>The Subrecipient may collect a Developers Fee to cover the cost of delivering a completed unit, that is 10% of the total costs of each project. The Developer fee will be paid out in two payments, 50% at contract signing per project and 50% upon construction completion and HTFC sign off. The Subrecipient should use the GAP request for payment form which will calculate the Developer fee based on approved contract amounts. The developer fee should be calculated inclusive of the total award amount, and as such cannot exceed the program award cap of \$250,000 inclusive of the developer fee.</p>
<p>Eligible Properties</p>	<ol style="list-style-type: none"> 1. Except as otherwise approved by the HTFC, Eligible Properties will be: <ul style="list-style-type: none"> • Single family homes and/or multifamily properties that are deferred by a Weatherization Subgrantee, and not otherwise able to receive Weatherization funding due to an eligible health and safety scope item included in the GAP funding program guidelines, OR • Single family and multifamily properties that have been declined funding eligibility to HCR’s programs, such as Climate Friendly Homes Fund, All-Electric Multi-family Program, or the Green Small Buildings Program, whereas the scope was broader than those programs could support and whereas the eligible scope measures in the GAP guidelines would allow the property to meet eligibility criteria once complete, OR • Single Family homes and multifamily properties that are deferred by a NYSERDA program, such as EmPower or EmPower+, whereas the residents are at or below 60% SMI/AMI and confirmed by NYSERDA program team, and whereas the scope was broader than those programs could support, and whereas the eligible scope measures in the GAP guidelines would allow the property to meet eligibility criteria once complete. 2. The GAP Subrecipient will further screen each property based on: <ul style="list-style-type: none"> • Ensure the GAP funding/scope of work can fully remediate the cause for deferral or loss of eligibility, whereas the property can receive additional funding in the future from one of the State or Federal programs included in the eligibility criteria above.

	<p>3. Ownership Requirement for eligible properties: Ownership is defined as holding a fee simple title as evidenced by a warranty deed, bargain for sale deed, a quit claim deed to the Property or having an approved leasehold interest and improvement to be assisted. The deed must be recorded with the county, city, or appropriate local municipality. Not-for-profit corporations or charitable organizations, or a wholly owned subsidiary of such corporations or organizations, or private for-profit developers.</p>
Eligible Use of Grant Funds	<p>Once an applicant’s property is found eligible by the Subrecipient based on eligibility criteria of GAP, the Subrecipient will submit a proposed scope of work to HTFC for review and approval ahead of any first payments. This GAP scope of work may include all or some of the measures below, but if a measure is selected, it must comply with New York State Homes and Community Renewal (HCR) Policies and Procedures Manual for the Weatherization Assistance Program where applicable, local municipal code, or State building code requirements, and Environmental policies required per applicable measures:</p> <ol style="list-style-type: none"> 1. Environmental remediation such as, but not limited to, mold, asbestos, radon, vermiculite or other onsite containments that can be remediated within the funding scope of the GAP funding and timelines. 2. Roof repair/replacement, this can include required interior patching, repairs and insulation related to direct roof repair or replacement to meet current building code requirements. 3. Interior repair related to water or fire damage, whereas damage requires investment that is not otherwise eligible with the existing energy efficiency or decarbonization programs. 4. Structural improvements that do not exceed the cost cap available in this program pilot including interior/exterior wall repair, ceiling repair, foundation/subspace repair, exterior drainage repairs, plumbing repairs, electrical repairs/upgrades 5. Additional measures may be submitted to the Agency for review and approval, whereas their completion in a property would make a residential property eligible to receive WAP, EmPower, or Weatherization services from a State or Federal source of funds once successfully completed.
Ineligible Hard Costs	<ol style="list-style-type: none"> 1. Reimbursement of costs for construction work previously incurred are ineligible. 2. Funding for Weatherization measures otherwise included as eligible scopes as defined in an audit for WAP, EmPower, or other decarbonization programs funded by the State or Federal sources. 3. Reimbursement or payment of costs or administrative costs not approved by HTFC as a part of the approved scope of work of this Program. 4. No duplication of benefits from other programs on the same work scope items
Program Requirements	<p>Upon the completion of the GAP scope of work, the project must enroll and/or apply to an eligible Weatherization, EmPower, CFHF, AMP, or other State or Federally funded efficiency or decarbonization program, whereas they were previously ineligible, or deferred due to the conditions now corrected through the GAP program and funding source.</p>
Environmental Review Requirements	<ol style="list-style-type: none"> 1. Coordinate with HTFC’s Environmental Unit, for final sign off and approval before construction start (template to be provided by HTFC). 2. Each home/unit renovation project must comply with the following laws and regulations:

	<ul style="list-style-type: none">a. The State Environmental Quality Review Act (SEQR) at 6 NYCRR 617;b. Section 14.09 of the Parks, Recreation, and Historic Preservation Law (Historic & Cultural Resources);c. Floodplain Management Criteria for State Projects at 6 NYCRR 502;d. NYS Agricultural and Markets Law Section 305(4) (Agricultural Districts);e. Coastal Zones at 19 NYCRR Part 600;f. Local Zoning Code/Special Use Permits;g. Lead Based Paint – EPA Renovation, Repair and Paint Rule or HUD Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing;h. Asbestos Containing Materials at 12 NYCRR Part 56.
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