FORM 2-3

CERTIFICATION OF NEPA CLASSIFICATION

CDBG Project Number: _____ Date _____

Name and Title of Certifying Officer: _____ Title _____

Name of Responsible Entity: _____

Address (e.g., Street No. or P.O. Box): _____

Co/C/T/V, State, Zip Code+4: _____ NY _____

Telephone Number of Responsible Entity: _____

It is the finding of the _____ that the activity(ies) proposed in its _____ NYS CDBG
Name of CDBG Grant Recipient *Funding Year*

project, _____ are:
Project Name

Check the applicable classification:

☐ Exempt as defined in 24 CFR 58.34 (a).

☐ Categorically Excluded as defined in 24 CFR 58.35(b).

☐ Categorically Excluded as defined in 24 CFR 58.35(a) and no activities are affected by federal environmental statutes and executive orders [i.e., exempt under 58.34(a)(12)].

☐ Categorically Excluded as defined in 24 CFR 58.35(a) and some activities are affected by federal environmental statutes and executive orders.

If neither exempt (24 CFR 58.34(a) nor categorically excluded (24 CFR 58.35(a) and (b) can be checked, Form 2-6 Environmental Assessment will be required.

☐ Part or all of the project is located in an area identified as a floodplain or wetland.
For projects located in a floodplain or wetland, evidence of compliance with Executive Orders 11988 and/or 11990 is required.

- ☐ Affidavit of publication for Early Notice and Public Review of Proposed Activity Located in the 100 Year Floodplain attached
- ☐ Affidavit of publication for Final Notice and Public Explanation of Proposed Activity Located in the 100 Year Floodplain attached

_____ _____
Signature of Certifying Officer Date

Instructions for
Form 2-3 Certification of NEPA Classification

Under 24 CFR 58.5 and 58.6, CDBG Recipients must review their projects using the same regulations HUD would otherwise be subject to under the National Environmental Policy Act. The Certification of NEPA Classification is used to classify the project for compliance requirements at CFR Part 58.

Recipients are strongly encouraged to check individual regulations directly for applicability and compliance measures.

Project Information

1. CDBG No.
    a. Provide the OCR assigned CDBG Project Number, 555PR999-14.
2. Date is the date the form is completed.
3. Certifying Officer Name and Title
    a. Provide the name and title of person identified in the Designation of Certifying Officer
4. Responsible Entity
    a. This is the entity identified in the Designation of Certifying Officer
    b. Provide the complete address of the Responsible Entity.

Name of the CDBG Grant Recipient

1. This is the County, City, Town or Village that has been awarded NYS CDBG funds.

Funding Year

1. This is the Program Year in which NYS CDBG are awarded, for example, if the last two digits of the CDBG Project Number is "14", the Program Year is 2014.

Program Name

1. An example would be "*Town of Upstate Water District #1 Improvements".*

Check the applicable Classification

1. Select **one** of the four classifications
2. If the project is not classified under one of the four classifications, the form is still returned unchecked and signed.
    a. Projects that do not fit under one of the four classifications will be required to submit Form 2-6 Environmental Assessment.

Floodplain Documentation

1. If the project is in a floodplain or wetland, this must be complied with.
    a. Prepare and submit with Form 2-3, the Early Notice and Public Review of Proposed Activity in the 100 Year Floodplain
    b. Prepare and submit with Form 2-3, the Final Notice and Public Explanation of Proposed Activity Located in the 100 Year Floodplain
        i. Please refer to the OCR Grant Administration Manual Chapter 2 for specific guidance on floodplain and wetland compliance

Signature of Certifying Officer

1. To be signed at the time the documentation is complete.