New York State
COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM

2026 REQUEST FOR APPLICATIONS

Economic Development
Public Facilities
Imminent Threat
Community Planning

NEW YORK STATE
Homes and Community Renewal
Housing Trust Fund Corporation

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I. FUNDS AVAILABLE

The NYS CDBG Program is administered by the Housing Trust Fund Corporation's (HTFC) Office of Community Renewal (OCR) and funds a variety of activities across the State to develop viable communities. CDBG Program funds are allocated to New York State by the Department of Housing and Urban Development (HUD) for the NYS CDBG Program. HTFC will make available approximately $20 million in NYS Community Development Block Grant (CDBG) Program funds through this Request for Applications (RFA). This RFA is limited to the activities listed below. Applications will be accepted and reviewed on a rolling basis throughout the year. Funding for CDBG public infrastructure and housing activities will be announced separately and subject to a future Request for Applications.

II. FUNDING LIMITS

Activity Type	*Minimum*	*Maximum*
Public Facilities		$1,000,000
Community Planning		$50,000
Economic Development: Stand-Alone Business Assistance	$25,000	$750,000
Economic Development: Microenterprise Assistance	$100,000	$300,000
Imminent Threat		$1,000,000

III. ELIGIBLE APPLICANTS

Eligible applicants are non-entitlement units of general local government (county, town, city, or village), excluding metropolitan cities, urban counties, and Indian Tribes that are designated Entitlement Communities. Non-entitlement areas are defined as cities, towns, and villages with populations of less than 50,000 except those designated principal cities of Metropolitan Statistical Areas, and counties with populations of less than 200,000. A list of eligible communities is available on the OCR website under Program Guidelines.

IV. PROGRAM OBJECTIVES

OBJECTIVES OF THE COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM

As set forth in the Federal Housing and Community Development Act, the primary objective of the CDBG program is the development of viable communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low and moderate income (LMI).

In support of New York's community development goals, the NYS CDBG Program will:

1. Encourage investment in communities by assisting local governments in devising and implementing economic development strategies to revitalize viable communities and provide economic opportunities that principally benefit LMI persons.

2. Revitalize the vibrancy of New York's communities and enhance the quality of life through improvements to public infrastructure and public facilities.

3. Develop and implement strategies that facilitate the coordination of NYS CDBG funding with other Federal, State, and local community development resources.

V. ELIGIBLE ACTIVITIES

CDBG funding may be used for eligible activities as outlined below. This is not intended to be an exhaustive list of eligible activities and applicants are encouraged to develop projects in response to local need that may not fit neatly in any one category. In these cases, applicants are encouraged to contact OCR for additional guidance prior to applying.

All activities must comply with all CDBG regulations and other requirements set forth in this RFA and Section 105(a) of the Housing and Community Development Act of 1974, as amended and 24 CFR 570.482-Eligible Activities. Additional information on eligible activities can be found on the HUD Exchange.

A. Public Facility

NYS CDBG funds support a variety of public facility and public improvement projects, such as the acquisition, construction, reconstruction, rehabilitation, or installation of public facilities. Examples include, but are not limited to:

- Senior centers
- Accessibility modifications for the removal of architectural barriers
- Neighborhood facilities, including but not limited to, libraries, childcare centers, recreational facilities, parks, playgrounds
- Facilities for persons with special needs such as facilities for the homeless or domestic violence shelters, nursing homes, or group homes for the disabled
- Infrastructure improvements including construction and installation of streets, curbs, lighting and broadband
- Emergency facilities and equipment

In general, public facilities and public improvements include all facilities and improvements that are publicly owned, or that are owned by a nonprofit and open to the public.

Eligible costs associated with Public Facility activities may include energy efficiency improvements, and accessibility modifications to comply with the Americans with Disability Act (including improvements to buildings used for general conduct of government). Accessibility modifications are strictly limited to the removal of architectural barriers, such as installing lifts, automatic doors, or ramps. Projects that are applying for accessibility modifications for new construction are not eligible. To qualify, applications for public facility projects must include a preliminary conditions analysis and third-party cost estimate. While final plans and specifications are not required at the time of application, needs assessments must be detailed enough to support the need for the request, demonstrate that funding is in place to complete the project, and to support the sustainability of the facility moving forward.

Note that eligibility for assistance for public facilities may vary based on use and local demographic information. Refer to *Program Administrative Requirements* section for details.

B. Community Planning

CDBG Community Planning grants enable communities to conduct assessments and develop clearly articulated strategies for addressing local community development issues. The study, analysis and planning of any community planning activity should identify eligible projects for subsequent CBDG funding. There are two categories of planning grants:

- Community Needs Assessments: A community needs assessment is a crucial step for communities seeking grants, as it establishes a clear understanding of the community's needs and how to address them. Funding may be used for housing needs assessments, market analysis, or similar assessments.

- Project Readiness Plans: Project driven planning activities are directly tied to a potential project and are to increase a project's readiness. Funding may be used for preliminary architectural and engineering design, and cost estimates.

Community Planning funding is not intended to result in a final/complete project design or bid package, but to support submission of a future CDBG application through the identification of a CDBG-eligible activity. The final report or work product will constitute third-party evidence of need (including cost estimates, if appropriate) that could be submitted as part of a future CDBG funding application.

Plan documents must be prepared by third-party professional service provider. While planning grants allow a small set-aside for grant administration, municipal staff time associated with preparation of the plan will not be reimbursed.

While community planning activities are not required to meet a CDBG National Objective, HUD does require that at least 51 percent of the persons who would benefit from implementation of the plan are L/M income persons or that the plan addresses a slum or blighted area of the community. Applicants should focus on plans that address one or more of the following: public infrastructure, public facilities, housing and/or economic development.

C. Economic Development

CDBG funds may be used to assist a specific, stand-alone business or to assist a community to establish a microenterprise assistance program to support multiple businesses.

Stand-Alone Business Assistance

Funding is provided to eligible communities to provide financial assistance to for-profit businesses for an identified CDBG eligible activity which will result in the creation or retention of permanent, private sector job opportunities, principally for persons from low-moderate income families. This category may also include the improvement of publicly owned infrastructure necessary to stimulate business expansion and job creation.

Eligible costs include:

- acquisition of real property
- financing of machinery, furniture, fixtures and equipment
- working capital, including payroll
- inventory
- employee training expenses
- building construction and renovation. Note that the use of CDBG funds toward construction and renovation costs may trigger Davis Bacon Wage Rate requirements. This includes the cost of equipment that requires installation as well as the purchase of materials used for construction activities.

Funding Structure:

- Maximum award amount of $750,000 (minimum $25,000)
- Business with more than 25 employees at the time of application may be eligible for up to $15,000 for each Full Time Equivalent (FTE) job created or retained as a result of the CDBG assisted project
- Businesses with 25 employees or less at the time of application may be eligible for up to $25,000 for each (FTE) job created or retained, up to a maximum of $100,000 in CDBG assistance
- NYS CDBG funds are capped at 40% of total project costs, not to exceed the maximum award amount. Applicants may request up to 50% for projects where cash equity meets or exceeds 20% of the total project costs
- NYS CDBG funds must be used as gap funding to induce the project

Applicants must perform thorough underwriting of the need to assist the business based on HUD's underwriting criteria. Detailed information related to methodology and submission requirements can be found in Chapter 5, Eligible Activities, of the NYS CDBG Grant Administration Manual.

Microenterprise Assistance

Funding is provided to eligible communities to provide entrepreneurial training and financial assistance to for-profit businesses with five (5) or fewer employees, including the owner(s), for an identified CDBG eligible activity which will result in the creation or retention of permanent, private sector job opportunities, principally for persons from low-moderate income families. Businesses under this category may also meet HUD

requirements if owned by a documented low to moderate income person.

Eligible costs include:

- Technical assistance and the cost to attend the required entrepreneurial training
- Inventory
- Procurement of machinery, furniture, fixtures and equipment
- Working capital
- Employee training

Funding Structure:

- Microenterprise owner(s) must complete an OCR approved entrepreneurial or small business training program prior to receiving CDBG Microenterprise grant funds.
- Maximum award amount of $300,000 (minimum $100,000) to support several microenterprises
- NYS CDBG Microenterprise funds must be allocated as a grant to each microenterprise
- Grant assistance to an individual microenterprise must be between $5,000 and $35,000
- Grants may not exceed 90% of the total project cost
- A minimum amount of 10% owner equity contribution

OCR provides a toolkit and form/template index for the Microenterprise Program. This toolkit provides all the resources needed to implement a successful local program of microenterprise assistance. This toolkit and all referenced materials can be found on the CDBG website here.

D. Imminent Threat

Funds may be requested to provide eligible municipal applicants financial support for activities that alleviate existing conditions that have a blighting influence or pose a serious and immediate threat to the health or welfare of the community. Applicants will be required to demonstrate a compelling need for public investment and document that no other funding sources are available.

CDBG Imminent Threat funds may be used to cover costs associated with:

- Repairs or improvements to water/sewer systems, storm sewer drainage, flood walls, roads, sidewalks and culverts
- Demolition and/or clearance of damaged structures including environmental assessments and mitigation, site work, architectural, and engineering fees
- Public services required as a result of disaster

Imminent Threat projects typically meet HUD's (national objective) requirements in one of two ways:

1. Urgent Need

Projects undertaken in response to immediate dangerous conditions, or because of a state or local disaster declaration must meet the following criteria:

- The existing conditions must pose a serious and immediate threat to the health or welfare of the community; and
- The existing conditions are of recent origin or recently became urgent within 18 months of the disaster or event that caused the need. The Applicants certifies and OCR determines that:
  - The Applicant is unable to finance the activity on its own, and no other funding is available to address the problem; and
  - The CDBG funding will be directly targeted toward alleviation of the threatening condition(s).

Note that deteriorated properties experiencing recent decline, but that have been vacant, abandoned, or suffering from deferred maintenance for greater than 18 months from the date of application, do not constitute evidence of urgent need. Properties with a history of deterioration may better qualify under Slum/Blight, described below.

2. Slum/Blight

Projects may be undertaken in an area meeting the conditions of "blighted" and formally designated as such by the municipal applicant. Applicants may also propose projects impacting individual sites on a "spot" basis. For a full definition of Slum/Blight and required documentation to support this designation, refer to Chapter 5 of the CDBG Grant Administration Manual: https://hcr.ny.gov/community-development-block-grant#program-guidelines.

CDBG assistance will generally not be made available to projects with public facility failures resulting from neglected maintenance by a locality.

E. Administrative Funds and Project Delivery

In addition to activity specific costs, CDBG funds can be used toward (1) general program administrative costs, as related to overall program planning and CDBG grant administration; and (2) activity delivery costs, as related to implementing and carrying out specific CDBG- eligible activities. Generally, administrative costs are salaries, wages and related costs of the grant recipient's staff, the staff of local public agencies, or other staff including consultants and subrecipients engaged in managing the CDBG program. Program delivery costs are those that can be attributed directly to implementation of the CDBG program, such as marketing, verifying eligibility, environmental review, legal expenses, and labor standards compliance.

For Public Facilities, and Imminent Threat projects, applicants may request up to 20% of the CDBG award in program delivery, administration, and engineering costs combined. Of the 20%, administration must not exceed more than 5% of the total CDBG award.

For Microenterprise activities, applicants may request up to 20% of the CDBG award in program delivery and administration combined. Of the 20%, neither administration nor program delivery can exceed 10% of the CDBG award amount.

For Community Planning, applicants may request up to 5% of the CDBG award in administrative costs.

For stand-alone Economic Development activities, NYS CDBG funds for program delivery and grant administration costs combined cannot exceed $16,000.

Upon completion of environmental review requirements, Recipients may request up to 30% of the Program Delivery, Administration and Engineering budget. Subsequent requests for these costs must be proportional to, and not exceed, disbursements for primary project activities.

F. Ineligible Activities

- Assistance to buildings, or portions thereof, used for the general conduct of government. This prohibition extends to the administrative areas of buildings used for local government services, such as offices for fire chief or other staff. However, the removal of architectural barriers within public buildings to make them more accessible to the elderly and persons with disabilities is an eligible activity. Facilities such as neighborhood service centers or special purpose buildings that may house services provided by government at decentralized locations may be eligible.
- Expenses for the general conduct of government. However, costs directly attributable to administration of a local CDBG grant program may be considered for reimbursement.
- Purchase of equipment for local government with CDBG funds. An exception is that compensation for the use of construction equipment through leasing, depreciation, or use allowances pursuant to 2 CFR Part 200, as applicable, for an otherwise eligible activity is an eligible use of CDBG funds.
- Operating and maintenance expenses
- The replacement of existing debt with CDBG funds
- Political or religious activities
- Lobbying activities

- Advancement (or escrow) of CDBG funds prior to local approval
- For Economic Development only, assistance to a nonprofit is ineligible
- NYS CDBG funds may not be used to pay for costs related to the preparation of an application

VI. APPLICATION PROCESS & SUBMISSION

Prior to submitting an application CDBG applicants should be aware of the program requirements throughout the grant lifecycle. Applicants should review relevant sections of the CDBG Grant Administration Manual for specific guidance and requirements. The CDBG Grant Administration Manual can be found under Program Guidelines on the CDBG website: Community Development Block Grant | Homes and Community Renewal

A. Application Deadline

Applications will be reviewed and funding decisions made on a rolling basis until funds are exhausted.

Application Open Date: Friday, March 20, 2026

Application Submission Ends: Thursday, December 31, 2026

How to Apply

Funding round materials will be available on the Funding Round Materials page of the NYS Homes and Community Renewal Funding Opportunity website (here) and the NYS CDBG website (here) beginning Friday, March 20, 2026.

Applications for the following funding activities will be accepted through the Consolidated Funding Application (CFA) system.

- Public Facilities
- Community Planning
- Economic Development
- Imminent Threat

All CDBG-specific application forms, including application checklists, can be found here: https://hcr.ny.gov/community-development-block-grant#funding-round-materials. Applications for public infrastructure and housing related activities will be subject to a future Request for Applications (RFA).

B. Public Hearing Pre-application Requirement

Prior to submitting an application, Applicants must comply with public participation requirements including conducting a public hearing before a quorum of the full legislative body. Guidance specific to 24 CFR 570.486 and NYS Citizen Participation requirements is outlined in a Citizen Participation toolkit online with the funding round materials: https://hcr.ny.gov/community-development-block-grant#funding-round-materials.

Applications are **strongly encouraged** to use the model hearing materials provided. Failure to meet all citizen participation requirements will require holding additional hearings, leading to delays in application submission and may impact OCR's review and scoring

C. Technical Assistance

OCR will provide technical assistance regarding the application, proposed projects, and program regulations to applicants upon request. Applicants applying in the open funding round should reach out to OCR for technical assistance throughout the application process.

Applicants can contact OCR staff at HCR_CFA@hcr.ny.gov or 518-474-2057 for assistance.

Application Submission Process

The program follows a two-step application process that is initiated with submission of a pre-application to determine basic eligibility, and applicants are then invited to submit a full application where the balance of information is provided. Although OCR utilizes the CFA application portal, the CDBG application process does not share the annual CFA deadline. Open Round applications may be submitted any time after March 20, 2026 and before December 31, 2026, as projects are deemed ready.

Please note that submission of the full application constitutes formal consideration of the use of federal funds toward an activity. For that reason, it is critical that neither the recipient nor any related organizations or business(es) proposed to be assisted commit or incur costs related to the project, regardless of funding source. This includes entering into contracts and acquiring property.

Pre-Application Submission Instructions

The Pre-Application is the first of two steps required to submit an application under the CDBG open round funding opportunity for activities related to public facilities, community planning, economic development, and imminent threat. The Pre-Application phase provides OCR with an introduction to the proposed project including key elements such as eligibility, scope and feasibility. Pre-applications do not result in an award.

The Pre-Application is comprised of a series of questions in the CFA and forms that can be found on the CDBG website (Funding Round Materials) and uploaded to the CFA portal. Pre-Application forms include:

- Pre-Submission Certification
- Project Budget
- Project Map
- Job Creation Table (for Economic Development)

After answering all questions within the Pre-Submission part of the CFA application and uploading the relevant forms the applicant clicks 'Submit'. Following OCR review of the Pre-Application, the applicant will receive one of three notifications from the CFA portal via email:

Accept - The Pre-Submission has been approved and the applicant can proceed to the full application within the CFA portal.

Reject - The Pre-Submission form has not been approved. The applicant will not be able to proceed to the full application within the CFA Portal.

Needs More Information - OCR has determined that additional information is required before the application can be approved to proceed to a full application.

For all the above, OCR staff will be in contact with the applicant to discuss the next steps. Once accepted, the applicant has 60 days to complete a full application within the CFA portal.

Full Application Submission Instructions

Applicants are strongly advised to thoroughly read the application, review the requirements of the NYS CDBG program and observe all the application requirements. Applicants seeking consideration for funding must comply with all requests for information including completion of all required forms, providing descriptions, narratives and exhibits. All parts of the application and required exhibits must be completed in full for the application to be successfully evaluated. Required information that is not provided in the application will have a negative impact on the evaluation of the application. It is the Applicant's responsibility to provide OCR with an application that is clear, concise, well-organized and that provides all the information requested.

The Full Application is comprised of the balance of required attachments and the remaining required questions within the CFA itself. Standard required forms include:

- Applicant Certification
- Citizen participation documentation
- CDBG National Objective documentation
- Project Schedule

- Project Team
- CDBG Program Income Report
- Civil Rights Documentation (Fair Housing, Section 3, etc.)
- Environmental Review Record
- Vendor Responsibility Questionnaire (VRQ) (if applicable)
- Smart Growth compliance form (if applicable)
- Documentation of need
- Structural Assessment/Cost Estimates
- Evidence of funding commitments

Additional forms and attachments will be required based on activity type. Users will be prompted in the system and OCR maintains an application checklist for each activity type on the CDBG website.

Following submission of the full application and all requested items, applicants will be notified once the application is deemed complete and ready to advance for funding.

D. **Funding considerations and allocation priorities**:

- HTFC reserves the right to communicate with an applicant for the purpose of addressing clerical and mathematical errors in applications.
- HTFC reserves the right not to issue an award or contract to any applicant if it has been determined that the applicant is not in compliance with existing contracts and has not taken satisfactory steps to remedy such non-compliance. Activities that commence prior to contract execution and environmental review will not be eligible for reimbursement.
- HTFC reserves the right to award all, more than identified, a portion of, or none of the available funds based upon funding availability, feasibility of the applications received, the competitiveness of the applications, an applicant's ability to meet HTFC criteria for funding, the applicant's ability to advance the State's housing goals, and HTFC's assessment of cost reasonableness.
- HTFC reserves the right to award all, a portion of, or none of the application's requested amount, and further reserves the right to review an application requesting funds as an application for funding under other programs for which the proposed activity is eligible, and to change or disallow aspects of the applications received.
- HTFC reserves the right to waive any requirement contained in this RFA or revise the terms as needed.
- Award of funds does not confirm eligibility of all activities included in an application proposal, and HTFC reserves the right to change or disallow aspects of the applications received and may make such changes an expressed condition of its commitment to provide funding for proposed activities.

E. Expending Funds

A Recipient cannot expend or encumber CDBG funds for any activity, except those listed as exempt under 24 CFR 58.34, or which fall under the National Environmental Policy Act of 1969 ("NEPA") categorical exclusions set forth in 24 CFR 58.35(b), until a Recipient has completed the environmental and historic requirements.

If any project costs, regardless of their funding source, are incurred prior to the CDBG award date and the approval of the Request for Release of Funds by the Office of Community Renewal, the entire project may be deemed ineligible for NYS CDBG funds. This includes phasing projects with the intent to avoid compliance with federal requirements such as National Environmental Policy Act (NEPA) or Davis-Bacon.

Recipients may incur certain administrative costs after award and contract, but prior to release of funds, if those costs are related to program set up and completing the environmental review documents.

In addition to NEPA, projects are also subject to review under the State Environmental Quality Review Act (SEQR) Classification. Information related to SEQR can be found at: http://www.dec.ny.gov/permits/6191.html.

For information about compliance with NEPA, please contact program staff or reference Chapter 2 of the CDBG Grant Administration Manual here.

Note that following award, successful grant recipients may request CDBG funds for eligible activity costs incurred but not yet paid AND/OR for reimbursement of expenses already paid by the recipient or its subrecipient. Some examples of costs incurred include, but are not limited to, purchase orders, vouchers, and bills or invoices for verifiably completed work items.

VIII. APPLICATION REVIEW

A. Application Review Process

The review process for the NYS CDBG Program is designed to ensure that the limited NYS CDBG funds available are awarded to communities that meet the following CDBG federal requirements and program priorities:

- There is significant need for the proposed activity;
- Project is financially feasible;
- There are significant positive impacts on the community;
- Project can be implemented immediately upon award;
- CDBG funding is necessary to induce the project

Applicants are encouraged to consider the status of any open CDBG contracts as well as resolve all outstanding administrative issues prior to the submission of an application for funding. OCR staff are available at any time to provide technical assistance and feedback on current or proposed projects.

All applications are evaluated based on threshold and review criteria established in this RFA and program guidelines in the NYS Grant Administration Manual and related materials. Activities offered through an open round process may not be advanced for funding until all requirements have been met and the project is deemed ready to implement immediately upon award.

B. Threshold Eligibility Review Criteria

Applications must pass an initial threshold review based on the criteria summarized below. Applications that fail to meet all the threshold criteria will not be reviewed further and be deemed ineligible.

To achieve basic eligibility, all CDBG applications must:

- Be submitted by an eligible unit of general local government. For a list of eligible local governments, see here: https://hcr.ny.gov/community-development-block-grant#program-guidelines.
- Propose an activity/project that meets a CDBG National Objective.
- Demonstrate compliance with NYS Citizen Participation requirements.
- Request funding within the activity funding limits.
- Propose an activity and/or project that can be completed within the contract term listed below.
  - Public Facilities, Economic Development, and Imminent Threat: 25 months from award
  - Community Planning: 13 months from award.
- Propose and activity/project that has not yet started, and the budget does not include reimbursement of costs incurred prior to award of NYS CDBG funds and OCR approval of release of funds.
- Applicants that are 1) not up to date with charities bureau registration or other required filings, 2) on a funds suspended or debarment list or 3) in default or non-compliant with existing state contracts are not eligible to apply.

C. Application Review Criteria

Review criteria are derived from statutory, regulatory, and policy considerations of the CDBG program and based on the application materials received as part of the submittal. Below are the four criteria and overall priority:

Need…….…… 30%

Impact…… 20%

Administrative Capacity…….... 30%

Commitment and Readiness…20%

Applications must generally score a minimum of 60% within the Administrative Capacity category and a total score of at least 65% to be considered for funding.

While applications will only receive agency scores, OCR may accept and/or consider Regional Economic Development Council feedback in the review of applications. Each Regional Strategic Plan is available from the REDC website.

Need

The needs described in the application must correspond to the proposed activities and the extent to which the activity resolves or addresses the identified need. The application must clearly articulate and demonstrate the need for public investment in the proposed area and specific need for CDBG funds. A strong application will include:

- Data and a relevant examination of that data used to demonstrate the severity of the need for the proposed project and the CDBG funds.
- Documentation demonstrating other funding sources were pursued and/or other efforts taken to address the problem and that CDBG funds are necessary to induce the project. Including evidence that CDBG funds are not substituted for non-federal financial support.
- Third-party cost estimates and/or a solid methodology for cost-estimation has been used.
- Demonstrated broad local support and linkages between the proposed project and local planning and development efforts.

Impact

Applications will be evaluated based on the impact the proposed project and CDBG funds will have on the community. Evaluates how the project delivers positive, sustainable benefits to the community and surrounding area. An applicant's prior use of CDBG funds will be considered, including the type of project(s) funded and the outcome(s). A strong application will include:

- Clear evidence to support a HUD national objective.
- Clearly defined long-term benefits, or outcomes of the project including qualitative community improvements, and proposed accomplishments (jobs, units, people, etc.) that are reasonable, achievable and not overstated.
- Coordination with other state and federal funding programs, where necessary.
- Project benefits a NYS Pro Housing community or partner, engages minority and women owned businesses and serviced disabled veteran owned businesses, where appropriate.
- Project incorporates NYS Smart Growth principles, addresses accessibility modifications in public spaces, where appropriate.
- Consideration to applicants that prioritize M/WBE participation in the proposed project.

Administrative Capacity

Applications will be evaluated based on the applicant's capacity to administer CDBG funds. This evaluation includes consideration of the number of open CDBG contracts, on-going administrative concerns, and prior CDBG funding history. Factors such as slow rate of expenditure, contract time extensions and non-compliance with program requirements may negatively affect the scoring and/or funding of the current proposal. A strong application will include:

- The Applicant and/or project team demonstrates strong understanding of CDBG requirements and has experience delivering similar projects. If not, Applicant provides a strategy to assist in the implementation and compliance of the project.
- Demonstration of the applicant's understanding of cross-cutting federal and state requirements such as procurement, Davis-Bacon, Build America-Buy America (BABA), lead-based paint, asbestos, radon, AFFH, and Section 3.
- A clear description of the applicant's organizational ability and procedures to ensure the responsible use of program funds and safeguard against loss or misuse, including how funds will be tracked and monitored.
- Description of past administrative difficulties with previous CDBG and/or HCR projects and the steps taken to correct those issues.
- A plan to disburse CDBG funds on a pro-rata basis with other finances provided to the project, where applicable.

Commitment and Readiness

Evaluates the Applicant's plan and resources to ensure the project can be completed effectively, on time, and within budget while achieving the goals and objectives of the program. A strong application will include:

- Reasonable schedule and timeline of milestones that provide:
    - Evidence that no impediments exist or the degree to which all impediments such as procurement, permits, site control, etc. have been addressed.
    - Demonstrated ability to complete the project within the contract term.
    - Clear understanding of key CDBG program milestones (ex. GA execution, Release of Funds, Construction, etc.).
    - Identified risks and allowances for potential delays.
- An Environmental Review Record (ERR) under NEPA that is as complete as possible; there are no permitting or regulatory hurdles to overcome.
- Documented commitments of all non-CDBG funding sources are provided (if CDBG funds are awarded, no funding gap remains).

Note that successful applicants must make substantial progress within six (6) months of award. This means that projects awarded funding must submit a Request for Release of Funds within 60 days of receiving the grant agreement. Additionally, if construction is included, the project must be out to bid, and costs incurred with submission of disbursement of funds request within 6 months of award.

D. Additional Application Review Criteria – By Activity

The following outlines topics and specific documentation that must be included in applications based on the funding activity. An application missing items listed below may not be considered for funding.

Public Facility

- Preliminary or full engineering/architectural report, building needs assessment, physical needs assessment, or other document that summarizes an evaluation of the current condition of the facility, identifying deficiencies, code violations, safety issues, and areas requiring repairs or upgrades.
- The impact on residents as a result of the facility deficiency.
- Demonstration that the proposed project has long-term affordability, viability and sustainability.

- The project design includes components accommodating necessary services, e.g. mobility, visual, and auditory disabilities where relevant.
- Demonstration of National Objective compliance at the time of application.

Economic Development

- The extent to which the program will create permanent, full time equivalent job opportunities for persons of low- and moderate- income either through the support of LMI entrepreneurs or the creation of LMI jobs. (If creating jobs, at least 51% of the jobs created must be made available to, taken by or held by persons of LMI).
- The program's impact on increasing the viability of the "Main Street" business sector and/or targeted industry sectors.
- Demonstration of how consideration will be given to disadvantaged populations, including M/WBE's and veteran-owned businesses. Applicants must consider this selection methodology during the citizen participation (public hearing) phase of project development.
- (Microenterprise only) The usefulness and practicality of the entrepreneurial training program.
- (Microenterprise only) Developed Program Design Plan that describes how the proposed activities will be implemented.
- (Microenterprise only) Diverse grant committee that consists of representatives with expertise in professional areas such as banking, entrepreneurship, law, etc.
- Demonstrated need for CDBG funds through a thorough underwriting analysis.

Community Planning

- Likelihood of the planning efforts leading to CDBG eligible activity.
- Evidence that key stakeholders and community members support the need for the planning efforts.
- Description of how the Plan will address the identified need.
- Consistency with other local, county, or other regional planning efforts.
- Ability to complete within 13 months; or no barriers to completion exist.

Imminent Threat

- Strong evidence of threat to public health and safety that is recent in origin (within 18 months) OR that persistent conditions exist in an area or at a location that have a blighting influence on the community and its residents
- Evidence that the municipality is unable to finance the project on its own.
- All related legal instruments that will be used to secure assistance to private property owners are developed and in place, if applicable.
- If claiming Slum/Blight National Objective:
    - , The project addresses, arrests or reverses the condition or conditions that led to the decline of the area and third-party evidence of blight is provided.
    - A municipal resolution declaring blight is passed prior to the required public hearing.
    - See below under "Meeting a National Objective" for more information about choices to qualify an Imminent Threat project

IX. PROGRAM ADMINISTRATIVE REQUIREMENTS

The following is a listing, not all inclusive, of regulations and other program requirements that apply to the NYS CDBG Program. Applicants receiving awards will be expected to be familiar with and understand these governing regulations and will be periodically monitored throughout the administration of an awarded program or project to ensure continued compliance with these and other rules and regulations.

The Federal regulations set forth at 24 CFR Part 92 and 2 CFR Part 200 govern the NYS CDBG Program. For general program guidelines, applicants may reference the CDBG Program Guidelines, Grant Administration Manual found here:

https://hcr.ny.gov/community-development-block-grant

A. Meeting National Objective

The CDBG Program permits a wide range of eligible activities. To receive CDBG funds, eligible activities must meet one of three National Objectives:

1. Provide benefit to low- and moderate-income (LMI) persons
2. Aid in the prevention or elimination of slums or blight; or
3. Meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs.

Additional information on meeting and documenting a CDBG National Objective can be found in the Appendix, NYS CDBG Grant Administration Manual found here, CDBG regulations in 24 CFR 570.200 - 209, and "The Guide to Eligible Activities and The Guide National Objectives and Eligible Activities"found here: https://www.hudexchange.info/resource/2179/guide-national-objectives-eligible-activities-state-cdbg-programs/.

Most of the State CDBG funding must be directed toward the National Objective benefiting LMI persons. However, certain Imminent Threat projects may also qualify under a different National Objective. These can be further broken down into the following general categories:

National Objective Category	**Description**	**Public Facility**	**Stand-Alone Business Assistance**	**Microenterprise**	**Community Planning**	**Imminent Threat**
Low-Mod Area (LMA)	>51% of the residents are Low-Mod	X			X	X
Low-Mod Clientele (LMC)	>51% of the persons served are Low-Mod OR from special populations	X		X	X	X
Low-Mod Jobs (LMJ)	>51% of the job created or retained are held by or made available to Low-Mod people		X	X	X	X
Low-Mod Housing (LMH)	Housing must be occupied by Low-Mod persons				X	X

Urgent Need & Slums and Blight	Imminent threat to public health and safety					X

B. HUD Income Limits

The Department of Housing and Urban Development (HUD) sets income limits that determine eligibility for assisted CDBG projects. These limits are based on Median Family Income estimates and Fair Market Rent area definitions for each metropolitan area and non-metropolitan county. The most current and up to date HUD income limits are available online. For projects claiming LMA National Objective for area wide for projects benefitting a population that is more than 51% low and moderate income, community wide census data is available here. See Appendices for additional information on meeting LMA National Objective.

C. Contract Term and Timeliness

Due to HUD CDBG Program deadlines for commitment and expenditure, if awarded, the contract term shall be twenty-five (25) months for all activities except Community Planning. Community Planning activities have thirteen (13) month contract terms. All project activities and milestones must be completed and achieved within the contract term.

All awarded projects will be required to demonstrate substantial progress within six (6) months of award. Substantial progress is defined as grant execution in forty-five (45) days of award, submission of request for funds within sixty (60) days of award, projects out to bid and costs incurred with submission of first request for funds within six (6) months of award. OCR reserves the right to rescind the award if substantial progress cannot be demonstrated. Requests for extensions to complete program activities may be limited or not approved.

D. Procurement

An applicant may choose to use a third-party consultant and/or non-profit subrecipient to help administer the project. For professional service contracts, federal procurement rules will apply if any portion of those services will be paid using CDBG funds. NYS CDBG funds may not be used to pay for costs related to the preparation of an application. Written agreements must be executed between the parties detailing all responsibilities, terms and fees. For more information on both procurement and subrecipient relationships, please see Chapter 1 and 4 of the NYS CDBG Administration Manual Getting Started and Procurement Standards, respectively.

E. Environmental Review

Applicants should begin the environmental review process to identify barrier to immediate implementation upon award. A draft Environmental Review Record (ERR) for National Environmental Policy Act (NEPA) and State Environmental Quality Review Act (SEQRA) should be included in the Application. The length of time for the NEPA review varies based on the type of activities that are undertaken. Any non-exempt costs incurred prior to approval of NEPA Review would not be eligible for CDBG reimbursement. **Note that any costs incurred after submission of the full application bur prior to award, contract, and environmental release of funds may be deemed ineligible and endanger the investment of any CDBG funds in that activity at that location.** Letting bids, entering into real estate contracts, and ordering equipment are all examples of activities that may constitute “choice-limiting actions” if done prior to release of funds by OCR and put the project at risk of being deemed ineligible.

F. Monitoring

Recipients will be monitored throughout the term of award to ensure continued compliance with all applicable rules and regulations. In addition, Recipients are required to provide oversight and monitoring of all subrecipients and consultants involved in the administration of the CDBG-funded project. This monitoring is required regardless of the source used to reimburse or pay fees associated with administration of the project. Recipients must show evidence of having monitored subrecipients and/or consultants on a specific day(s) and time. For more information, please see Chapter 10 of the NYS CDBG Grant Administration Manual, Monitoring. In addition, OCR provides a subrecipient/consultant monitoring template here.

G. Smart Growth

The Housing Trust Fund Corporation is subject to the New York State Smart Growth Public Infrastructure Policy Act (Chapter 433 of the Laws of 2010) and must, to the extent applicable, make funding decisions consistent with the provisions of the Act. This law is intended to minimize the unnecessary cost of sprawl development and requires New York State agencies to ensure public infrastructure projects undergo a consistency evaluation and attestation using the eleven Smart Growth criteria specified in the Act.

H. Program Income

Program income is defined by the CDBG Rule (24 CFR 570.489(e)(1)) to include any "gross income received by a State, a unit of general local government, or a subrecipient of the unit of general local government that was generated from the use of CDBG funds, regardless of when the CDBG funds were appropriated and whether the activity has been closed out." The rule provides for an annual threshold of $35,000 before receipts by local recipients must be counted as program income. If the total amount of receipts by the local recipient exceeds $35,000 during the year (for the New York State Program, the state fiscal year of April 1 – March 31), all funds received, including the initial $35,000, are considered program income and are subject to recapture by HTFC. For more information please see Chapter 3 of the NYS CDBG Grant Administration Manual, Financial Management.

I. Lead Based Paint Regulations

Applicants must comply with the lead-based paint requirements implemented at 24 CFR Part 35 if proposing activities involving residential properties purchased or rehabilitated in whole or part with CDBG funds.

The lead-based paint regulation defines work practices that must be followed when dealing with lead-based paint in older structures and expands requirements to protect occupants and workers from lead-based paint hazards until lead hazard reduction work is completed.

Lead hazard evaluation and reduction activities for rehabilitation projects are determined by the level of federal assistance received by the project and the per unit evaluation of hard costs. Interim controls are required for projects assisted with less than $25,000 in Federal Funds. Projects assisted in excess of $25,000 in Federal funds are subject to the completion of Part 3 of 24 CFR Part 35. The Lead Based Paint Applicability Worksheet must be completed to document compliance.

Under no circumstances will OCR approve the release of funds for a project or activity where lead safe practices and lead safe clearance were not conducted in accordance with all applicable lead based paint rules and regulations.

J. Davis Bacon Related Acts

The use of CDBG for construction-related costs in excess of $2,000 will trigger Federal Labor Standards requirements (Davis Bacon Related Acts) as outlined in 40 USC 276. This regulation requires that workers receive no less than the prevailing wages being paid for similar work in their locality. **Note that the labor associated with the installation of equipment for business assistance activities may also trigger David Bacon if the installation costs exceed 13% of the cost of the equipment itself.** Applicants and grantees are encouraged to contact OCR staff with any questions on this requirement.

K. Section 3 Compliance

Housing construction and rehabilitation projects as well as public works projects that receive more than $200,000 in HOME Investment Partnership Program (HOME), Community Development Block Grant (CDBG), federal Housing Trust Fund or other funding from the U.S. Department of Housing and Urban Development (HUD) must comply with Section 3 of the Housing and Urban Development Act of 1968 ("Section 3") as implemented by regulations found at 24 CFR 75.

Section 3 requires that economic opportunities generated by HUD funds be prioritized for low-income individuals and the businesses that hire or are owned by them, particularly in the neighborhood surrounding the project. All entities involved in the Section 3 Project, consisting of the entity awarded the CDBG funds, the General Contractor, other contractors, and subcontractors must, to the greatest extent feasible, engage in efforts to

achieve Section 3 goals and objectives.

The Benchmark Goals for each Section 3 Project are the following:

25% of Total Labor Hours worked by Section 3 Workers, of which
5% of Total Labor Hours worked by Targeted Section 3 Workers.

Awarded projects will be required to report on these numbers mid-year and end-year as well as at project close-out. All reporting must include Total Labor Hours, Section 3 Worker hours and Targeted Section 3 Worker hours for entities involved in the Project.

More information and guidance on Section 3 compliance can be found in NYSHCR's Section 3 Policy Manual here: https://hcr.ny.gov/section-3-compliance. Links to required documents and useful resources can be found below.

L. Equal Employment, Nondiscrimination, and Minority and Women Owned Business Participation

CDBG recipients are subject to the Equal Employment Opportunity requirements related to nondiscrimination and equal access. CDBG recipients are required to include the equal opportunity clause in all non-exempt federally-assisted contracts for more than $10,000, as set forth in 202 of Executive Order 11246; and ensure that all federally-assisted construction contractors and subcontractors on a NYS CDBG-assisted project take affirmative actions to ensure that employees and applicants for employment are not discriminated against because of age, race, creed, color, sex, sexual orientation, gender identity, national origin, military or veteran status, disability, predisposing genetic characteristics, marital or family status, domestic violence victim status, arrest record or criminal conviction history, or any other impermissible basis.

In addition, all recipients and their contractors are required to comply with the Equal Employment Opportunity provisions of Article 15A, Section 312 of the New York State Executive Law, which requires affirmative efforts to ensure that New York State Certified Minority and Women Owned Business Enterprises (MWBE) are afforded opportunities for meaningful participation in projects through inclusion on the list of contractors funded by HTFC pursuant to Section 313 of the Article. Visit NYS Empire State Development's Division of Minority & Women Business Development website for a directory of certified MWBE: http://www.esd.ny.gov/MWBE.html and the CDBG Grant Administration Manual, Chapters 4 and 7, "Procurement" and "Other Federal Requirements" for additional information.

In compliance with 24 CFR 570.60, the Recipient must comply with the Federal requirements set forth in 24 CFR Part 5, subpart A, which includes non-discrimination and equal opportunity, disclosure requirements, debarred, suspended or ineligible contractors (each contractor employed on a CDBG assisted project must be documented that he/she is not on the Federal debarred, suspended or ineligible contractor list) and drug-free workplace. Refer to NYS HCR's Fair and Equitable Housing Office (FEHO) for more guidance on non-discrimination.

M. Affirmatively Furthering Fair Housing

Recipients have a duty to affirmatively further fair housing (AFFH) which involves taking meaningful actions to address discrimination. New York State will monitor the efforts of local government grantees to satisfy the requirements of AFFH. In general, activities that AFFH should promote non-discrimination and ensure fair and equal access to housing opportunities for all. **The AFFH Guide for HCR-Funded Localities (https://hcr.ny.gov/feho), provides additional information regarding fair housing obligations that must be met during the term of the grant**. As part of the funding application, units of local government will be required to:

- Designate a fair housing officer who is familiar with fair housing laws and requirements. The officer must be trained or will receive training on duties and responsibilities as a fair housing officer, and, through means reasonably calculated to reach the community, publicize the existence of the fair housing officer as the primary point of contact for fair housing issues between the public and the locality.
- Provide a statement disclosing whether the municipality has been the subject of any fair housing proceeding before a federal, state and/or local adjudicatory body, including the outcome/resolution, or if it receives a final disposition in a proceeding involving fair housing law claims.

As noted in the AFFH Guide for HCR-Funded Localities, recipients are required to complete a plan noting fair

housing actions that it will take prior to the completion of the NYSHCR funded activity. Such actions may include distributing fair housing information, conducting fair housing meetings or trainings, making local zoning maps available to the public on a website, and/or creating a local fair housing website.

Reporting Requirements
Recipients must also report on Affirmatively Further Fair Housing actions undertaken on an annual basis as part of the Annual Performance Report due in January of every year.

Questions related to fair housing obligations detailed above must be addressed to HCR's Fair and Equitable Housing Office (FEHO) at feho@hcr.ny.gov.

N. Violence Against Women Act (VAWA)

Information specific to VAWA compliance can be found on the FEHO website.

O. Build America Buy America (BABA)

The Build America, Buy America Act (BABA) requires that all iron and steel, construction materials, and manufactured products used in federally funded infrastructure projects are produced in the United States. This requirement, known as the "Buy America Preference" (BAP), is detailed in the Infrastructure Investment and Jobs Act, Pub. L. 117-58 and 2 CFR 184.

All applicants are required to provide a description at the time of application of how the project will comply if awarded. All NYS CDBG Grant Recipients will be required to submit a BABA Guidance and Applicability Checklist prior to construction and BABA Covered Materials List and Certification during implementation of the project: https://hcr.ny.gov/community-development-block-grant#forms-and-documents. BABA requirements are described in Chapter 6 of the NYS CDBG Grant Administration Manual

P. Unique Entity ID (UEI) and the Federal Funding Accountability and Transparency Act (FFATA)

Applicants are required to provide their Unique Entity ID (UEI) as part of the application process. The UEI is a 12-character alphanumeric ID assigned to an entity by SAM.gov that has replaced the DUNS numbers for use in federal contract reporting. Applicants without or unable to locate the UEI for the unit of general local government can register here: https://sam.gov/content/home. The GSA also provides a UEI frequently asked questions document here: https://www.gsa.gov/system/files/To_Publish_-_FAQs_from_Unique_Entity_ID_Forum.pdf.

X. APPENDICES

A. LOW MOD AREA (LMA) NATIONAL OBJECTIVE COMPLIANCE DOCUMENTATION

1. Overview

Applications that propose activities benefitting an entire municipality, neighborhood, or other discrete geographic area, must qualify the project under the Low Mod Income Area Benefit National Objective (LMA). Most NYS CDBG projects qualify under the LMA category, which requires the service/benefit area of the activity be primarily residential and at least 51% of persons living in the area be of low to moderate income (LMI)

Two types of data can be used to demonstrate compliance – Census data or income survey data. Information below outlines requirements to conduct an income survey or utilize census data for meeting a national objective.

2. Census Data

The most recent Census data can be used to determine and provide evidence of compliance with LMA if the service area for the proposed activities is coterminous with the census area used. For example, if a Village's sewer district services the entire Village and only the Village, census tract data showing that over 51% of residents are low to moderate income, would qualify a CDBG-funded wastewater treatment plant improvement project under LMA.

3. Income Survey

Overview

Income surveys may be necessary if:

- The proposed activity is located in a Census Tract or Block Group that has less than 51% LMI persons based on the most recent available Census data and the Applicant has reason to believe that the Census information is not accurate, and the area is primarily LMI.
- The activity is located in a Census Tract or Block Group that has at least 51% LMI persons, based on the most recent available Census data, but does not serve the entire Census Tract, or Block Group, or it serves portions of several Census Tracts or Block Groups.
- The service area (area benefiting from the activity) of the proposed activity is not contiguous with any Census designated area for which data is provided.

Applicants using a third-party to conduct an income survey must obtain all of the survey documents (instrument, summary, surveys) and retain this information in their project files.

Methodology

OCR will accept a survey conducted under the following methods:

- The CDBG Income Survey Toolkit. The toolkit is available through the HUD Exchange.
- NYS CDBG income survey methodology. For more information, please see Chapter 5, Eligible Activities, of the NYS CDB Grant Administration Manual here: https://hcr.ny.gov/community-development-block-grant#program-guidelines.

B. HUD INCOME LIMITS

The most current and up to date HUD income limits are available online.

For projects claiming LMA National Objective for area wide for projects benefitting a population that is more than 51% low and moderate income, community wide census data is available here.

C. DEFINITIONS OF ANNUAL HOUSEHOLD INCOME

For purposes of determining whether a household is low- and moderate, income, CDBG permits two definitions:

- Adjusted gross income as defined for purposes of reporting under internal revenue service (IRS) form 1040 for individual federal annual income tax purposes.
- Annual income as defined under the Section 8 housing assistance payments program at 24 CFR part 5.
- Chart below defines the types of household income to be include in the calculations.

DEFINITIONS OF ANNUAL HOUSEHOLD INCOME	
General Category	**Statement from 24 CFR 5.609 paragraph (b) (April 1, 2004)** (for a list of household income exclusions see 24 CFR 5.609 paragraph (c)
1. Income from wages, salaries, tips, etc.	The full amount, before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services.
2. Business Income	The net income from the operation of a business or profession. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation of assets used in a business or profession may be deducted, based on straight-line depreciation, as provided in Internal Revenue Service regulations. Any withdrawal of cash or assets from the operation of a business or profession will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested in the operation by the family.
3. Interest & Dividend Income	Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation is permitted only as authorized in number 2 (above). Any withdrawal of cash or assets from an investment will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested by the family. Where the family has net family assets in excess of $5,000, annual income shall include the greater of the actual income derived from all net family assets or a percentage of the value of such assets based on the current passbook savings rate, as determined by HUD.
4. Retirement & Insurance Income	The full amount of periodic amounts received from Social Security, annuities, insurance policies, retirement funds, pensions, disability or death benefits, and other similar types of periodic receipts, including a lump-sum amount or prospective monthly amounts for the delayed start of a periodic amount (except as provided in number 14 of Income Exclusions).
5. Unemployment & Disability Income	Payments in lieu of earnings, such as unemployment and disability compensation, worker's compensation, and severance pay (except as provided in number 3 of Income Exclusions).
6. Welfare Assistance	Welfare Assistance. Welfare assistance payments made under the Temporary Assistance for Needy Families (TANF) program are included in annual income: ▸ Qualify as assistance under the TANF program definition at 45 CFR 260.31; and ▸ Are otherwise excluded from the calculation of annual income per 24 CFR 5.609(c). If the welfare assistance payment includes an amount specifically designated for shelter and utilities that is subject to adjustment by the welfare assistance agency in accordance with the actual cost of shelter and utilities, the amount of welfare assistance income to be included as income shall consist of: ▸ the amount of the allowance or grant exclusive of the amount specifically designated for shelter or utilities; ***plus*** ▸ the maximum amount that the welfare assistance agency could in fact allow the family for shelter and utilities. If the family's welfare assistance is reduced from the standard of need by applying a percentage, the amount calculated under 24 CFR 5.609 shall be the amount resulting from one application of the percentage.
7. Alimony, Child Support, & Gift Income	Periodic and determinable allowances, such as alimony and child support payments, and regular contributions or gifts received from organizations or from persons not residing in the dwelling.
8. Armed Forces Income	All regular pay, special day and allowances of a member of the Armed Forces (except as provided in number 7 of Income Exclusions).

D. APPLICABLE LAWS AND REGULATIONS

There are numerous Federal, state, and local regulations that govern or impact the State CDBG Program. It is the responsibility of the Applicant to ensure compliance with all applicable Federal, state, and local regulations and statutes. The following is a listing that is not all inclusive, of Federal regulations that apply to the State CDBG Program:

- https://www.hudexchange.info/programs/baba/
- Davis-Bacon Fair Labor Standards Act (40 USC 276a)
- Contract Work Hours and Safety Standards Act, as amended (40 USC 327-333)
- Copeland Anti-Kickback (18 USC 874)
- Title VI of the Civil Rights Act of 1964 (42 USC 200(d))
- Title VIII of the Civil Rights Act of 1968 (42 USC 3601)
- Section 504 of the Rehabilitation Act of 1973, as amended (29 USC 792 and 3601)
- Section 3 of the Housing and Urban Development Act of 1968, as amended (12 USC 1701 (u))
- Section 109 of the Housing and Urban Development Act of 1974, as amended (42 USC 5309)
- Age Discrimination Act of 1975, as amended (42 USC 6101)
- Architectural Barriers Act of 1968, as amended (42 USC 4151)
- Americans with Disabilities Act of 1991
- Equal Employment Opportunity (Executive Order 11246, September 24, 1965)
- Equal Opportunity in Housing (Executive Order 11063, as amended by Executive Order 12259)
- The Hatch Act (5 USC 1501 et seq.)
- The National Environmental Policy Act of 1969
- Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and amendments of 1987
- Title IV of the Lead-Based Poisoning Prevention Act (42 USC 4831)
- HUD Reform Act of 1989
- 24 CFR Parts 35, 58, 85, and 570
- Housing & Community Development Act of 1974, as amended
- 2CFR 200, Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments; Section 104(d) of the Housing and Urban Development Act of 1974, as amended (Anti-Displacement)