NEW YORK STATE OF OPPORTUNITY. | Homes and Community Renewal

KATHY HOCHUL
Governor

RUTHANNE VISNAUSKAS
Commissioner/CEO

ADDENDA
Discretionary Purchase Solicitation for External Quality Assessment for Compliance with Global Internal Audit Standards

Updated as of April 30, 2026

Addendum No. 2 – Issued on April 30, 2026

Amendment 4:

Section **7.4.1** of the solicitation titled "**General Forms"** is hereby amended to remove the hyperlink to the required form Iran Divestment Act Certification. The form has been inserted and placed within the solicitation document and appears on page 37.

7.4.1 General Forms

(i) Non-Collusive Bidding Certification Form, attached hereto as **SOLICITATION Form C** and hyperlinked herein.

(ii) Vendor Information Form, attached hereto as **SOLICITATION Form D** and hyperlinked herein.

(iii) ~~Iran Divestment Act Certification~~ ~~Iran Divestment Act Certification~~, Iran Divestment Act Certification attached hereto as **SOLICITATION Form E**.

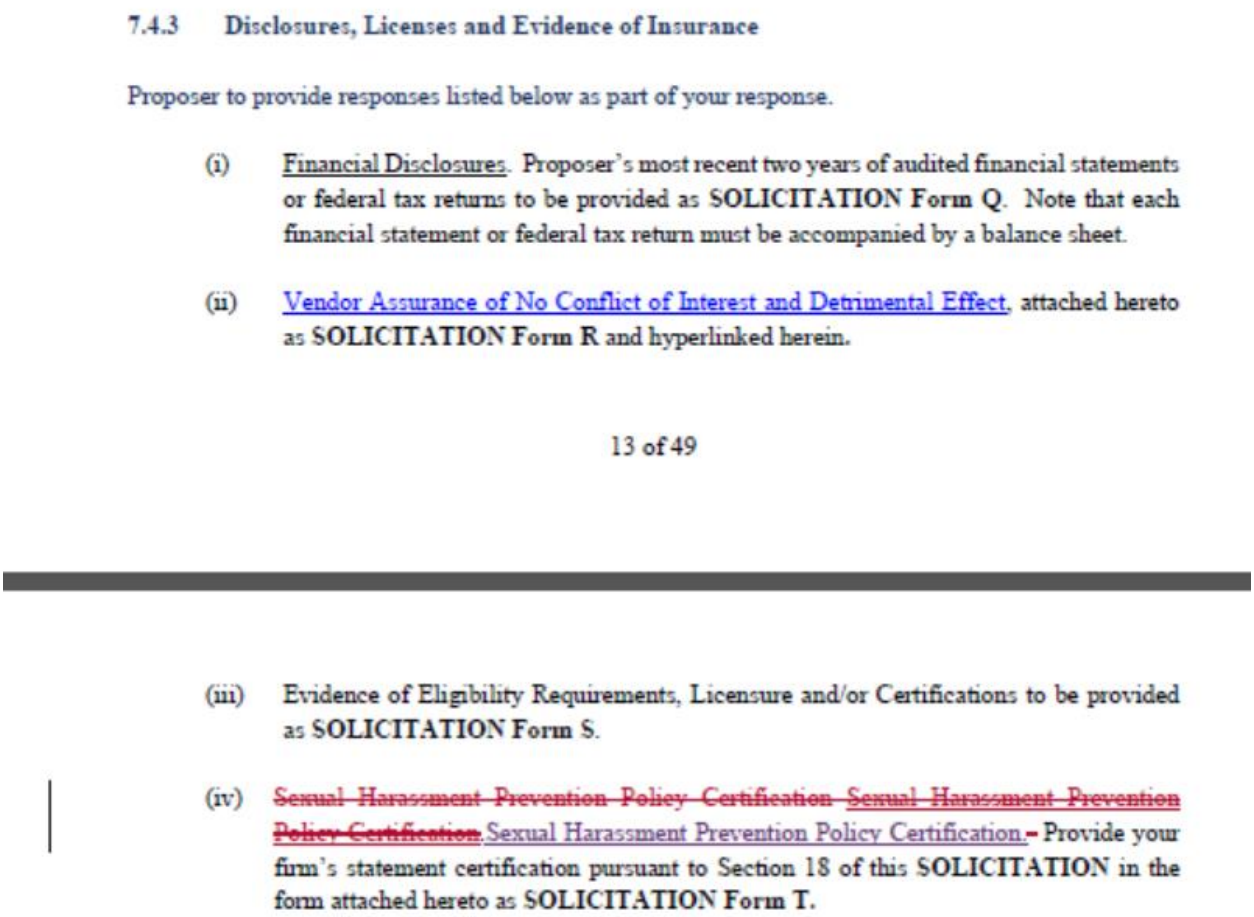
(iv) Procurement Lobbying Provision Forms, attached hereto collectively as **SOLICITATION Form G** (the Proposer's Affirmation of Understanding of Agreement and Certification of Compliance and Disclosure of Prior Non-Responsibility Determinations (collectively, the "**Lobbying Forms**").

(v) Vendor Responsibility Questionnaire(s) ("**VRQ Questionnaire**"). Pursuant to Section 15.3 of this Solicitation, if the Proposer submits the VRQ Questionnaire electronically, a Proposer may do so in the OSC online VendRep System, hyperlinked herein.

Amendment 5

Section 7.4.3 "Disclosures, Licenses and Evidence of Insurance" is hereby amended to remove the hyperlink to the Sexual Harassment Prevention Policy Certification. The

form has been inserted and placed within the solicitation document and appears on page 39.



7.4.3 Disclosures, Licenses and Evidence of Insurance

Proposer to provide responses listed below as part of your response.

(i) Financial Disclosures. Proposer's most recent two years of audited financial statements or federal tax returns to be provided as **SOLICITATION Form Q**. Note that each financial statement or federal tax return must be accompanied by a balance sheet.

(ii) Vendor Assurance of No Conflict of Interest and Detrimental Effect, attached hereto as **SOLICITATION Form R** and hyperlinked herein.

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(iii) Evidence of Eligibility Requirements, Licensure and/or Certifications to be provided as **SOLICITATION Form S**.

(iv) ~~Sexual Harassment Prevention Policy Certification~~ ~~Sexual Harassment Prevention Policy Certification~~ Sexual Harassment Prevention Policy Certification. Provide your firm's statement certification pursuant to Section 18 of this **SOLICITATION** in the form attached hereto as **SOLICITATION Form T**.

Amendment 6:

Section 23.2 "Schedule of Forms for this Solicitation" is hereby amended to remove the following hyperlinks in this section.

- Form B - Intent to Submit Proposal Form.
- Form E – Iran Divestment Act Certification.
- Form T – Sexual Harassment Prevention Policy Certification

23.2 Schedule of Forms for this Solicitation

Form A	-	Cost Proposal Form, TAB 3
Form B	-	~~Intent to Submit Proposal Form Intent to Submit Proposal Form~~ Intent to Submit Proposal Form
Form C	-	Non-Collusive Bidding Certification Form
Form D	-	Vendor Information Form
Form E	-	~~Iran Divestment Act Certification~~ ~~Iran Divestment Act Certification~~ Iran Divestment Act Certification
Form G	-	Procurement Lobbying Provisions and Forms (Lobbying Procurement Law FORM 1 and Lobbying Procurement Law FORM 2)
Form H.1	-	Vendor Responsibility Questionnaire for For-Profit Business Entity
Form H.2	-	Vendor Responsibility Questionnaire for Non-Profit Business Entity
Form I	-	Executive Order #16 – Prohibiting Contracting with Businesses Conducting Business in Russia
Form J	-	EEO Staffing Plan, PROC-1
Form K	-	Company Demographic Profile, PROC-7
Form L	-	EEOC Statement, PROC-8
Form Q	-	Financial Statements or Tax Returns with balance sheets
Form R	-	Vendor Assurance of No Conflict of Interest and Detrimental Effect
Form S	-	Evidence of Eligibility Requirements, Licensure and/or Certifications
Form T	-	~~Sexual Harassment Prevention Policy Certification~~ ~~Sexual Harassment Prevention Policy Certification~~ Sexual Harassment Prevention Policy Certification
Form U	-	Gender-Based Violence and the Workplace Certification
Form V-1	-	Form ST-220-CA Contractor Certification to Covered Agency (to DHCR)
Form V-2	-	Form ST-220-TD Form (to be sent to NYS Tax Department) if applicable.

The listed forms have been inserted into the solicitation document and can be found on the corresponding pages noted for each form

- Form B - Intent to Submit Proposal Form - Page 35.
- Form E – Iran Divestment Act Certification - Page 37.
- Form T – Sexual Harassment Prevention Policy Certification -Page 39.

Amendment 7:

The “Proposal Checklist” for this Solicitation is hereby amended to remove the following hyperlinks in this section, and insert the forms into the solicitation document. The forms appear on the following pages:

Intent to Submit Proposal Form, **SOLICITATION Form B** - Page 35.
Iran Divestment Act Certification, **SOLICITATION Form E** - Page 37.
Sexual Harassment Prevention Policy Certification, **SOLICITATION Form T** - Page 39.
Tab 1: Proposal Coversheet, Cover Letter & Certification - pages 46 – 49.

Proposal Checklist

CHECKLIST FOR REQUIRED FORMS AND RELATED ITEMS TO BE COMPLETED AND RETURNED WITH YOUR PROPOSAL SUBMISSION:

- ☐ TAB 1 – Proposal Coversheet, Cover Letter & Certification, attached hereto as Attachment I
- ☐ TAB 2 – Technical Proposal as outlined in Section 7.2 of this Solicitation
- ☐ TAB 2 – Additional Attachments (not included in 20-Page Limit)
- ☐ References with contact information for prior engagements, listed in Tab 2
- ☐ Resumes for Proposer's principals and training staff and staff of Proposer's subcontractors, listed in the Proposal Narrative
- ☐ Organizational charts or other supporting materials, referenced in Tab 2
- ☐ TAB 3 – Cost Proposal Form (utilizing template in **SOLICITATION Form A**)
- ☐ TAB 4 - Administrative Proposal
- ☐ ~~Intent to Submit Proposal Form~~ Intent to Submit Proposal Form **SOLICITATION Form B**
- ☐ Non-Collusive Bidding Certification Form, **SOLICITATION Form C**
- ☐ Vendor Information Form, **SOLICITATION Form D**
- ☐ ~~Iran Divestment Act Certification~~ Iran Divestment Act Certification, **SOLICITATION Form E**
- ☐ Form ST-220-CA, **SOLICITATION Form F**
- ☐ Procurement Lobbying Provisions and Forms (Lobbying Procurement Law FORM 1 and Lobbying Procurement Law FORM 2), **SOLICITATION Form G**
- ☐ Vendor Responsibility Questionnaire for For-Profit Business Entity, **SOLICITATION Form H.1** or Vendor Responsibility Questionnaire for Non-Profit Business Entity, **SOLICITATION Form H.2**
- ☐ Executive Order #16 – Prohibiting Contracting with Businesses Conducting Business in Russia, **SOLICITATION Form I**
- ☐ Equal Employment Opportunity Staffing Plan, PROC-1 form, **SOLICITATION Form J**
- ☐ Company Demographic Profile, PROC-7 form, **SOLICITATION Form N**
- ☐ EEOC Statement, PROC-8, **SOLICITATION Form O**
- ☐ Financial Statements or Tax Returns, per Section 7.4.3(i), **SOLICITATION Form Q**
- ☐ Vendor Assurance of No Conflict of Interest and Detrimental Effect, **SOLICITATION Form R**
- ☐ Evidence of Eligibility Requirements, Licensure and/or Certifications, per Section 7.4.3(iii), Proposers must include in their Proposal Submission to be labeled as **SOLICITATION Form S**
- ☐ ~~Sexual Harassment Prevention Policy Certification~~ Sexual Harassment Prevention Policy Certification per Section 7.4.3(iv), **SOLICITATION Form T**

Addendum No. 1 - Issued on April 27, 2026

Amendment 1:

Section **7.4.1** of the solicitation titled "**General Forms"** is hereby amended to include the following hyperlink to the required form:

- Iran Divestment Act Certification.

7.4.1 General Forms

(i) Non-Collusive Bidding Certification Form, attached hereto as **SOLICITATION Form C** and hyperlinked herein.

(ii) Vendor Information Form, attached hereto as **SOLICITATION Form D** and hyperlinked herein.

(iii) ~~Iran Divestment Act Certification~~ Iran Divestment Act Certification, attached hereto as **SOLICITATION Form E**.

(iv) Procurement Lobbying Provision Forms, attached hereto collectively as **SOLICITATION Form G** (the Proposer's Affirmation of Understanding of Agreement and Certification of Compliance and Disclosure of Prior Non-Responsibility Determinations (collectively, the **"Lobbying Forms").**

(v) Vendor Responsibility Questionnaire(s) ("**VRQ Questionnaire**"). Pursuant to Section 15.3 of this Solicitation, if the Proposer submits the VRQ Questionnaire electronically, a Proposer may do so in the OSC online VendRep System, hyperlinked herein.

Amendment 2:

Section 7.4.3 "Disclosures, Licenses and Evidence of Insurance" is hereby amended to include the following hyperlink to the required form:

- Sexual Harassment Prevention Policy Certification.

7.4.3 Disclosures, Licenses and Evidence of Insurance

Proposer to provide responses listed below as part of your response.

(i) Financial Disclosures. Proposer's most recent two years of audited financial statements or federal tax returns to be provided as **SOLICITATION Form Q**. Note that each financial statement or federal tax return must be accompanied by a balance sheet.

(ii) Vendor Assurance of No Conflict of Interest and Detrimental Effect, attached hereto as **SOLICITATION Form R** and hyperlinked herein.

(iii) Evidence of Eligibility Requirements, Licensure and/or Certifications to be provided as **SOLICITATION Form S**.

(iv) ~~Sexual Harassment Prevention Policy Certification~~ Sexual Harassment Prevention Policy Certification. Provide your firm's statement certification pursuant to Section 18 of this **SOLICITATION** in the form attached hereto as **SOLICITATION Form T.**

Amendment 3:

Section 23.2 “Schedule of Forms for this Solicitation” is hereby amended to include the following hyperlinks in this section.

- Form B - Intent to Submit Proposal Form.
- Form E – Iran Divestment Act Certification.
- Form T – Sexual Harassment Prevention Policy Certification.

23.2 Schedule of Forms for this Solicitation

Form A	-	Cost Proposal Form, TAB 3
Form B	-	~~Intent to Submit Proposal Form~~ Intent to Submit Proposal Form
Form C	-	Non-Collusive Bidding Certification Form
Form D	-	Vendor Information Form
Form E	-	~~Iran Divestment Act Certification~~ Iran Divestment Act Certification
Form G	-	Procurement Lobbying Provisions and Forms (Lobbying Procurement Law FORM 1 and Lobbying Procurement Law FORM 2)
Form H.1	-	Vendor Responsibility Questionnaire for For-Profit Business Entity
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Form I	-	Executive Order #16 – Prohibiting Contracting with Businesses Conducting Business in Russia
Form J	-	EEO Staffing Plan, PROC-1
Form K	-	Company Demographic Profile, PROC-7
Form L	-	EEOC Statement, PROC-8
Form Q	-	Financial Statements or Tax Returns with balance sheets
Form R	-	Vendor Assurance of No Conflict of Interest and Detrimental Effect
Form S	-	Evidence of Eligibility Requirements, Licensure and/or Certifications
Form T	-	~~Sexual Harassment Prevention Policy Certification~~ Sexual Harassment Prevention Policy Certification
Form U	-	Gender-Based Violence and the Workplace Certification
Form V-1	-	Form ST-220-CA Contractor Certification to Covered Agency (to DHCR)
Form V-2	-	Form ST-220-TD Form (to be sent to NYS Tax Department) if applicable.

Amendment 4:

The solicitation is hereby amended to include Form T, “Sexual Harassment Prevention Policy Certification,” referenced via this hyperlink, RFP Form T_Sexual Harassment Prevention Policy Certification, and inserted following page 34 of the solicitation.

Amendment 5:

The “Proposal Checklist” for this Solicitation is hereby amended to include the following hyperlinks in this section.

- Intent to Submit Proposal Form, **SOLICITATION Form B.**
- Iran Divestment Act Certification, **SOLICITATION Form E.**
- Sexual Harassment Prevention Policy Certification, **SOLICITATION Form T.**
- Gender-Based Violence and the Workplace Certification, **SOLICITATION Form U.**

Proposal Checklist

CHECKLIST FOR REQUIRED FORMS AND RELATED ITEMS TO BE COMPLETED AND RETURNED WITH YOUR PROPOSAL SUBMISSION:

- ☐ TAB 1 – Proposal Coversheet, Cover Letter & Certification, attached hereto as Attachment I
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- ☐ References with contact information for prior engagements, listed in Tab 2
- ☐ Resumes for Proposer's principals and training staff and staff of Proposer's subcontractors, listed in the Proposal Narrative
- ☐ Organizational charts or other supporting materials, referenced in Tab 2
- ☐ TAB 3 – Cost Proposal Form (utilizing template in **SOLICITATION Form A**)
- ☐ TAB 4 - Administrative Proposal
- ☐ ~~Intent to Submit Proposal Form~~ Intent to Submit Proposal Form, **SOLICITATION Form B**
- ☐ Non-Collusive Bidding Certification Form, **SOLICITATION Form C**
- ☐ Vendor Information Form, **SOLICITATION Form D**
- ☐ ~~Iran Divestment Act Certification~~ Iran Divestment Act Certification, **SOLICITATION Form E**
- ☐ Form ST-220-CA, **SOLICITATION Form F**
- ☐ Procurement Lobbying Provisions and Forms (Lobbying Procurement Law FORM 1 and Lobbying Procurement Law FORM 2), **SOLICITATION Form G**
- ☐ Vendor Responsibility Questionnaire for For-Profit Business Entity, **SOLICITATION Form H.1** or
  Vendor Responsibility Questionnaire for Non-Profit Business Entity, **SOLICITATION Form H.2**
- ☐ Executive Order #16 – Prohibiting Contracting with Businesses Conducting Business in Russia, **SOLICITATION Form I**
- ☐ Equal Employment Opportunity Staffing Plan, PROC-1 form, **SOLICITATION Form J**
- ☐ Company Demographic Profile, PROC-7 form, **SOLICITATION Form N**
- ☐ EEOC Statement, PROC-8, **SOLICITATION Form O**
- ☐ Financial Statements or Tax Returns, per Section 7.4.3(i), **SOLICITATION Form Q**
- ☐ Vendor Assurance of No Conflict of Interest and Detrimental Effect, **SOLICITATION Form R**
- ☐ Evidence of Eligibility Requirements, Licensure and/or Certifications, per Section 7.4.3(iii), Proposers must include in their Proposal Submission to be labeled as **SOLICITATION Form S**
- ☐ ~~Sexual Harassment Prevention Policy~~ Certification Sexual Harassment Prevention Policy Certification per Section 7.4.3(iv), **SOLICITATION Form T**
- ☐ ~~Gender Based Violence and the Workplace Certification~~ Gender-Based Violence and the Workplace Certification per Section 7.4.3(iv), **SOLICITATION Form U**

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